

# Bijection Between Catalan Objects

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# Catalan Numbers

$$C_n = \frac{1}{n+1} \binom{2n}{n}$$

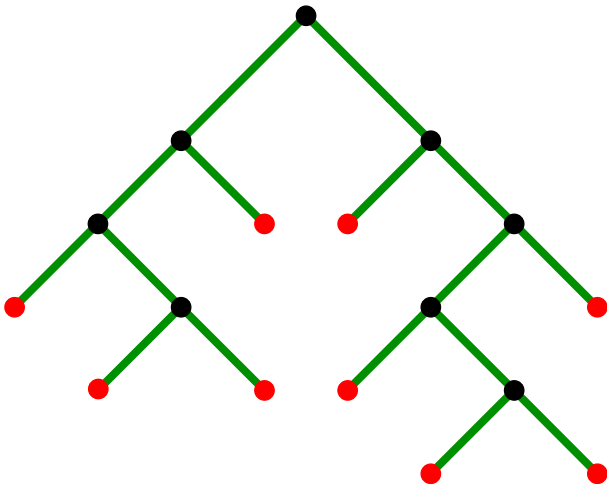
- The number of **full binary tree** with  $2n + 1$  vertices (i.e.,  $n$  internal vertices).
- The number of **triangulations** of a convex  $(n + 2)$ -gon.
- The number of **semi-pyramid** with  $n$  dimers.

There are more than 200 such objects!!

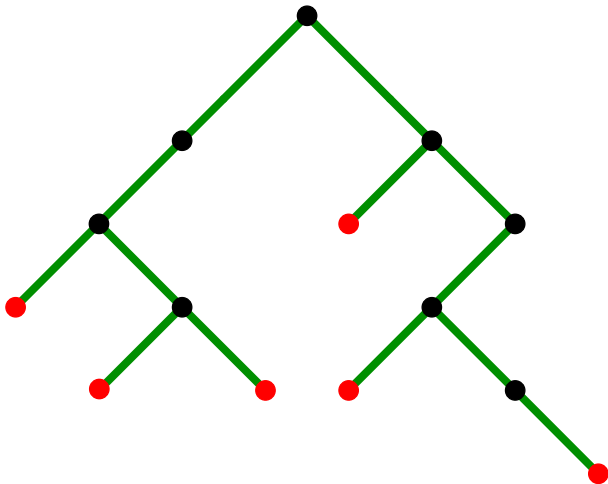
# Three Types of Trees

- Binary Trees
- Full Binary Trees
- Planar Trees

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# Bijection Between Binary Trees and Full Binary Trees

## Theorem

*The number of **binary trees** (not necessarily full) of  $n$  vertices is equal to the number of **full binary trees** with  $2n + 1$  vertices.*

# Bijection Between Binary Trees and Full Binary Trees

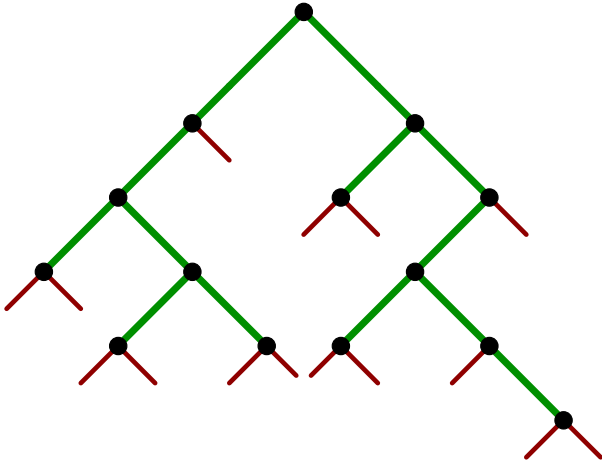
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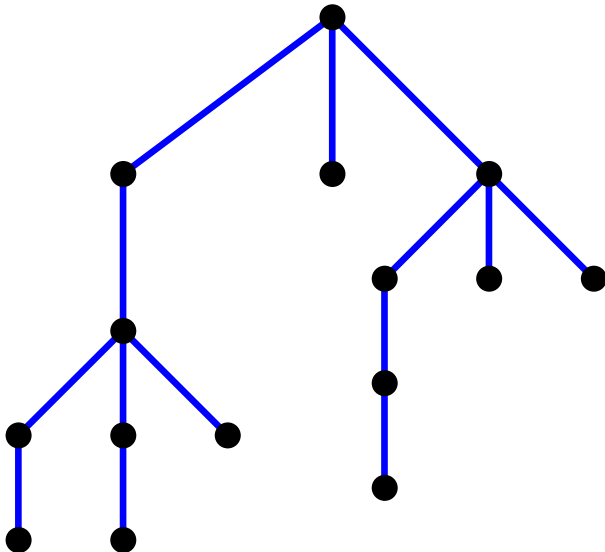
**Hint:** We already learned the bijection!



# Bijection Between Binary Trees and Full Binary Trees



# Planar Trees



# The Number of Planar Trees

## Theorem

*The number of **planar trees** with  $n + 1$  vertices is  $C_n$ .*

**Exercise:** Prove by generating function.

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# The Number of Planar Trees

## Theorem

The number of *planar trees* with  $n + 1$  vertices is  $C_n$ .

We need to show

$$y = \sum_{n \geq 0} C_n x^{n+1} = x f$$

$f$  is the generating function of the binary tree.

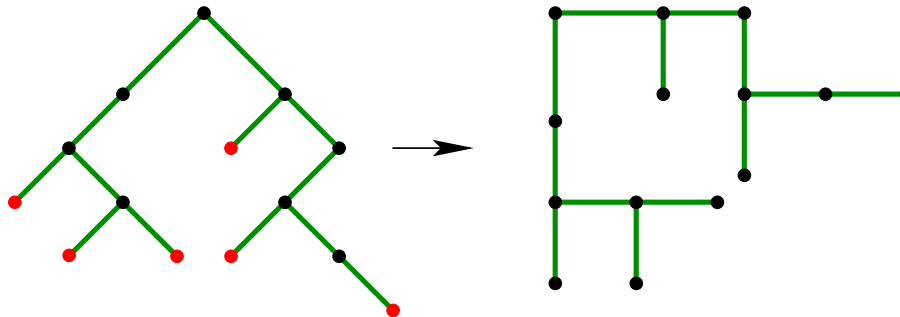
# The Number of Planar Trees

## Theorem

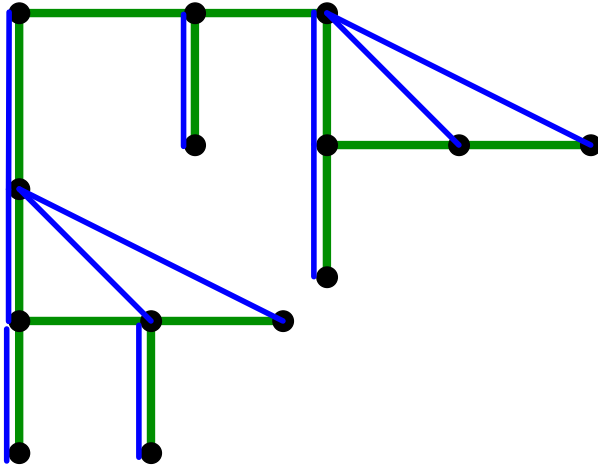
The number of *planar trees* with  $n + 1$  vertices is  $C_n$ .

- $y = x + \frac{xy}{1-y}$
- $xf$  satisfies the same recurrence.

# Bijection Between Binary Trees and Planar Trees

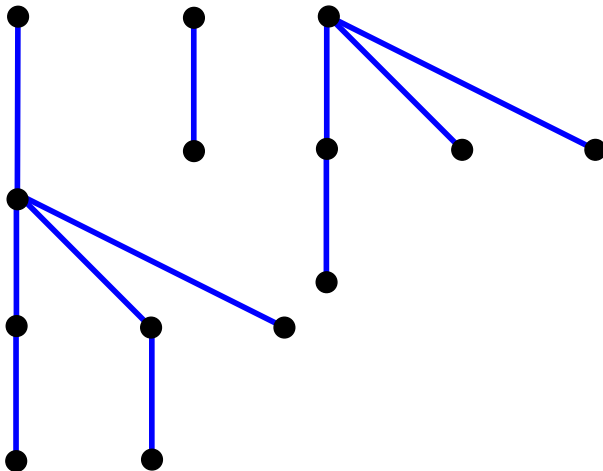


# Bijection Between Binary Trees and Planar Trees

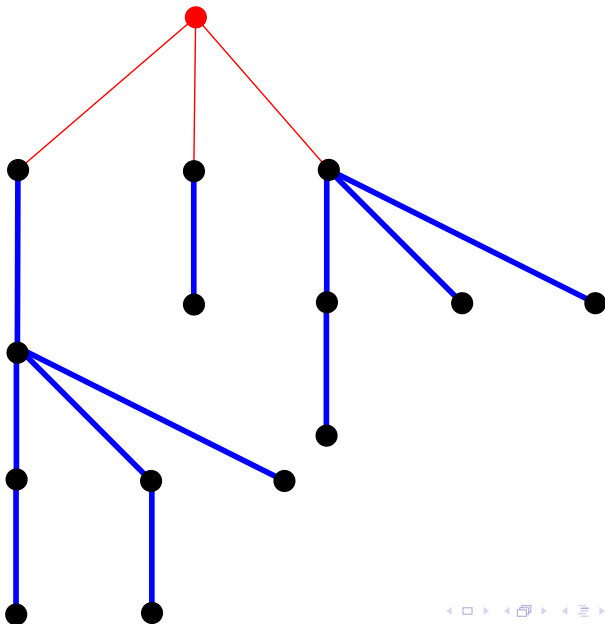




# Bijection Between Binary Trees and Planar Trees



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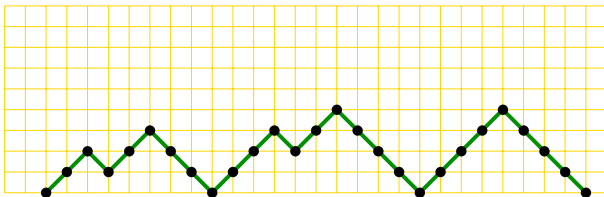
# Three Types of Paths

- Dyck Paths
- 2-Colored Motzkin Paths
- Lukasiewicz Paths

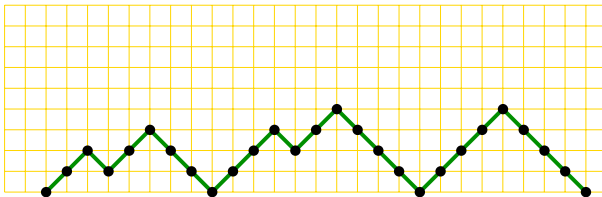
# Dyck Path

A **Dyck path** of length  $2n$  is a lattice path:

- 1 From  $(0,0)$  to  $(2n,0)$ ;
- 2 Use the element steps  $\nearrow$  and  $\searrow$ ;
- 3 Never go below the  $x$ -axis.



# Dyck Path

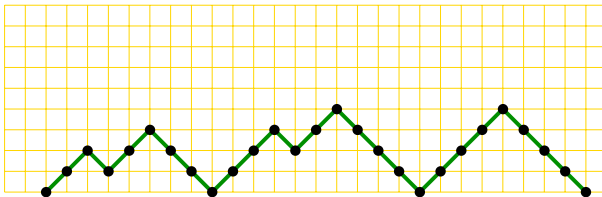


## Theorem

The number of *Dyck paths* of length  $2n$  is  $C_n$ .

Exercise: Prove by generating functions.

# Dyck Path



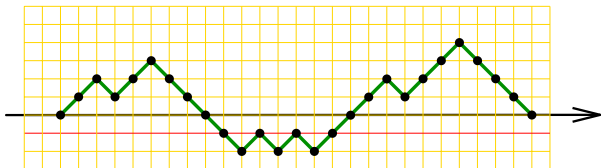
## Theorem

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Prove by reflecting principle.

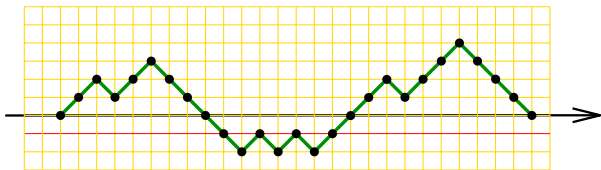
# Dyck Path

$$\# \text{ Dyck paths} = \# \text{ General paths} - \# \text{ 'Bad' paths}$$



# Dyck Path

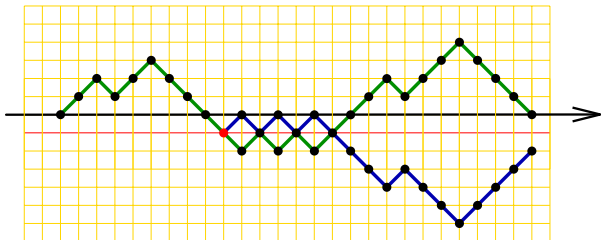
$$\# \text{ Dyck paths} = \binom{2n}{n} - \# \text{ 'Bad' paths}$$





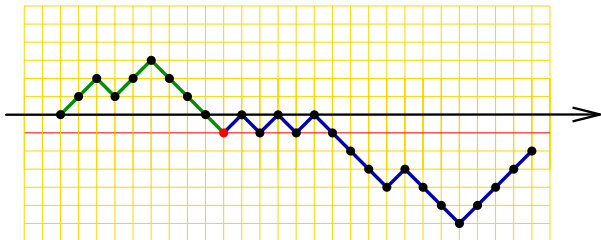
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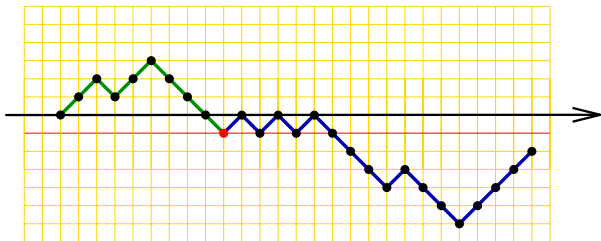
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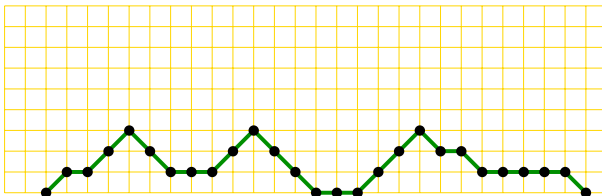
$$\# \text{ Dyck paths} = \binom{2n}{n} - \binom{2n}{n+1}$$



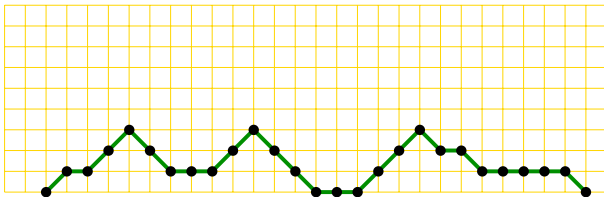
# Motzkin Path

A **Motzkin path** of length  $n$  is a lattice path:

- 1 From  $(0, 0)$  to  $(n, 0)$ ;
- 2 Use the element steps  $\nearrow$ ,  $\searrow$ , and  $\rightarrow$ ;
- 3 Never go below the  $x$ -axis.



# Motzkin Path



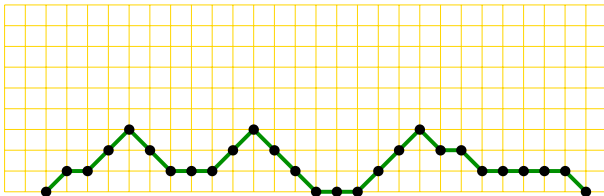
**Exercise:** Prove the following recurrence for the o.g.f.  $m$  of Motzkin paths:

$$m = 1 + xm + x^2 m^2$$

# 2-colored Motzkin Path

A 2-colored Motzkin path of length  $n$  is a lattice path:

- 1 From  $(0, 0)$  to  $(n, 0)$ ;
- 2 Use the element steps  $\nearrow$ ,  $\searrow$ , and  $\rightarrow$ ;
- 3 Never go below the  $x$ -axis.
- 4 The horizontal steps are colored by red or blue.

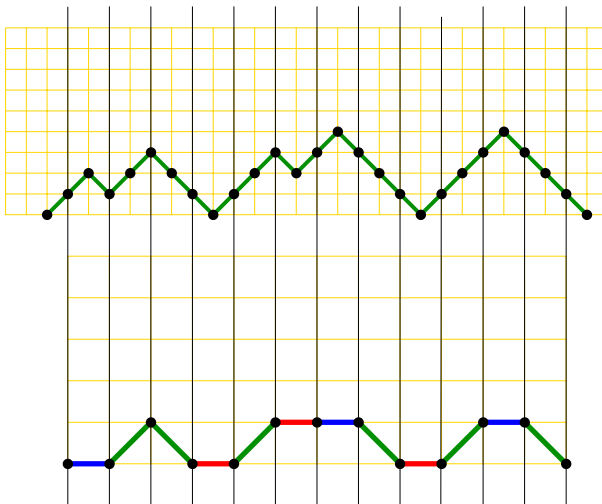


## Theorem

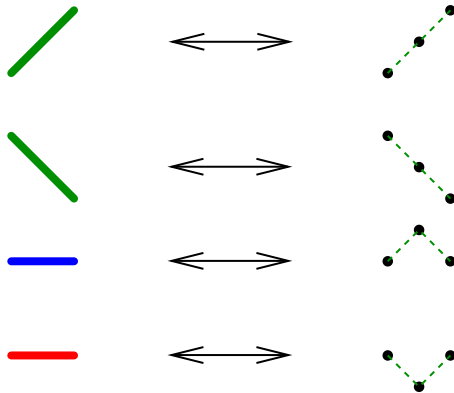
The number of 2-colored Motzkin paths of length  $n - 1$  is  $C_n$ .

Exercise: Prove by generating function.

# Bijection: 2-colored Motzkin Paths and Dyck paths



# Bijection: 2-colored Motzkin Paths and Dyck paths

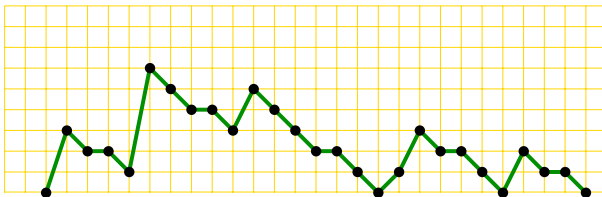




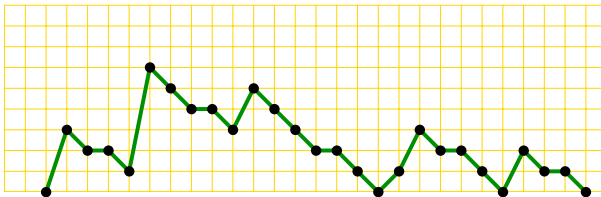
# Lukasiewicz Path

A **Lukasiewicz path** of length  $n$  is a lattice path:

- 1 From  $(0, 0)$  to  $(n, 0)$ ;
- 2 Use the element steps:  $\nearrow$  of **arbitrary height**,  $\searrow$  of **depth 1**, and  $\rightarrow$ ;
- 3 Never go below the  $x$ -axis.



# Lukasiewicz Path

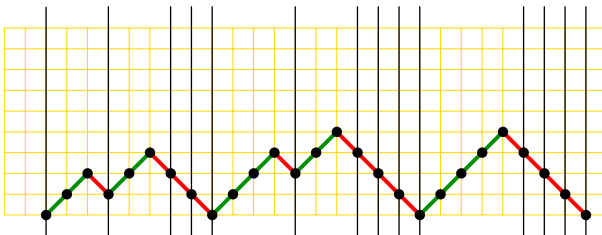


## Theorem

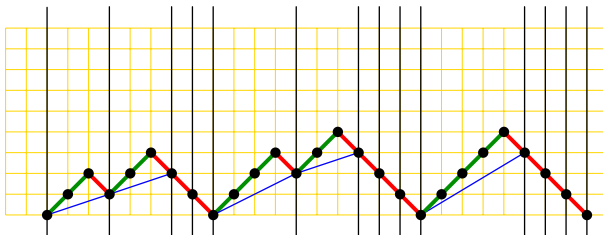
The number of *Lukasiewicz paths* of length  $n$  is  $C_n$ .

Prove by generating functions.

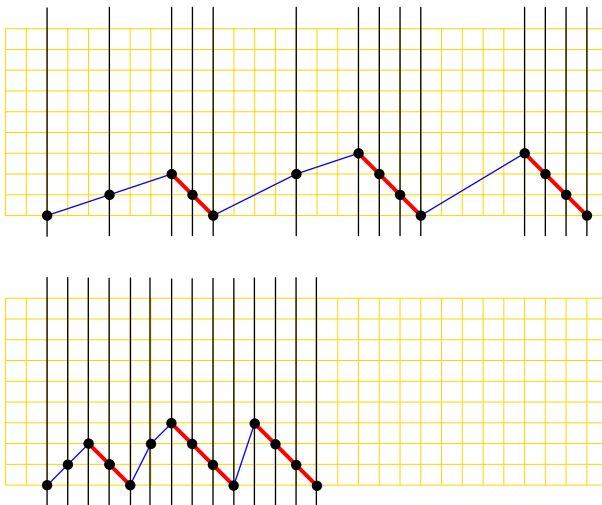
# Bijection Between Dyck Paths and Lukasiewicz Paths



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# Exercise

Prove bijectively:

①  $(4n + 2)C_n = (n + 2)C_{n+1}$

② (Touchard identity)  $C_{n+1} = \sum_{1 \leq i \leq \lfloor n/2 \rfloor} \binom{n}{2i} C_i 2^{n-2i}$

# Bijection Between Trees and Paths

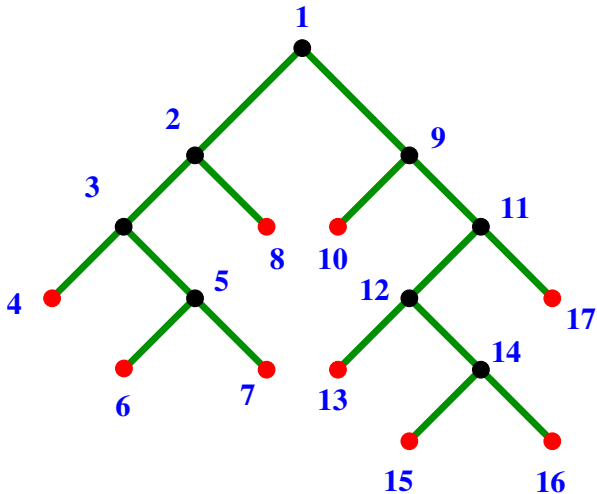
# Left-first Search (a.k.a. 'Preoder')

We visit the trees recursively as follow:

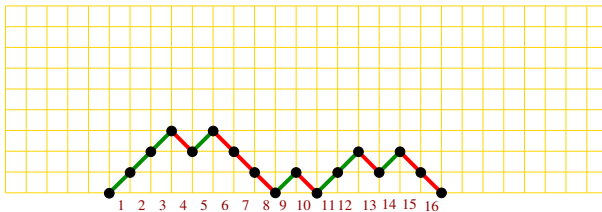
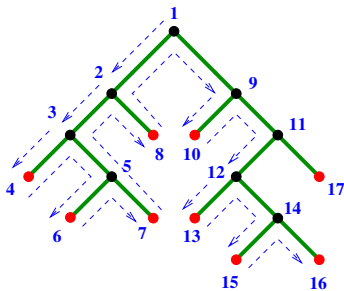
- 1 The root
- 2 The left subtree
- 3 The right subtree



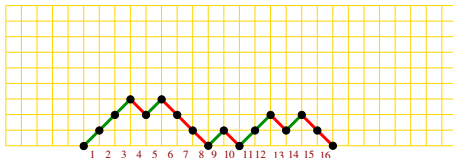
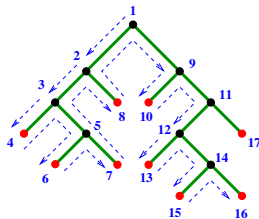
# Example



## Bijection: Dyck Paths – Full Binary Tree

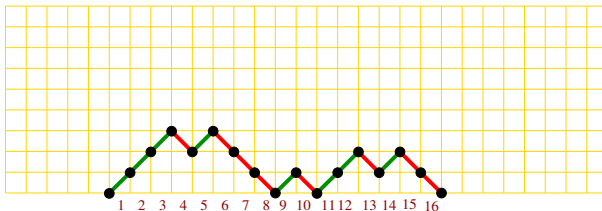
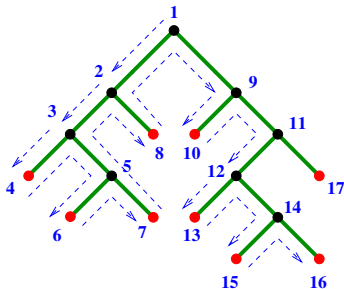


# Bijection: Dyck Paths – Full Binary Tree



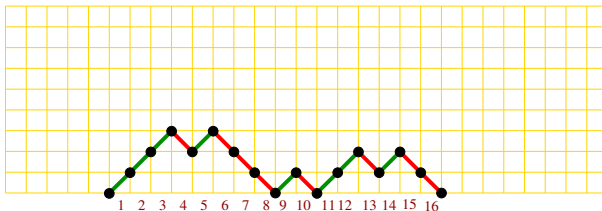
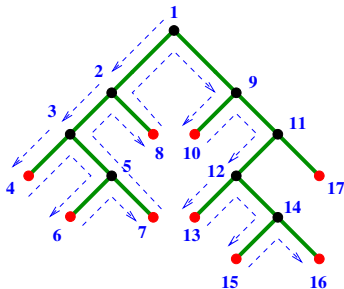
- Index the tree by the preorder;
- Travel around the tree;
- Meet an internal vertex, then go up; meet a leaf, then go down.

# Bijection: Dyck Paths – Full Binary Tree



Prove that the map is well-defined.

# Bijection: Dyck Paths – Full Binary Tree

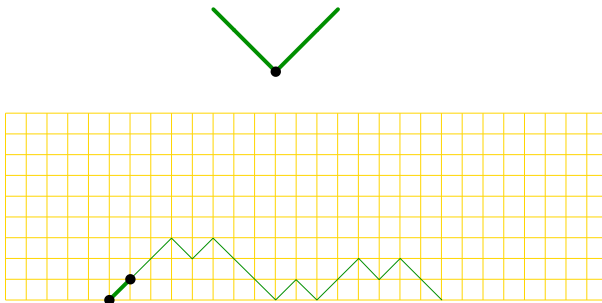


Prove that the map is indeed a bijection.

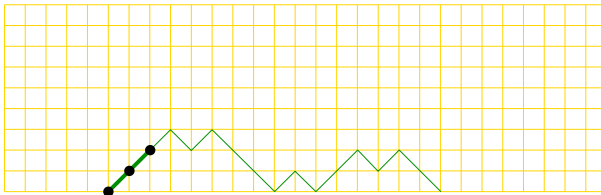
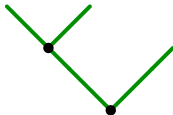
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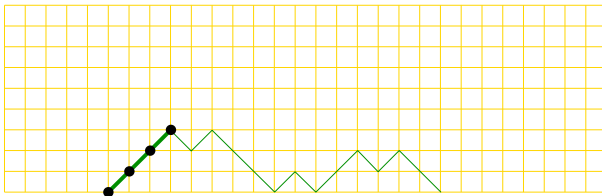
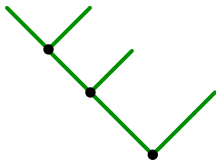


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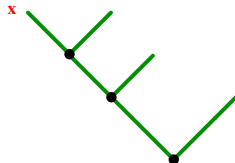




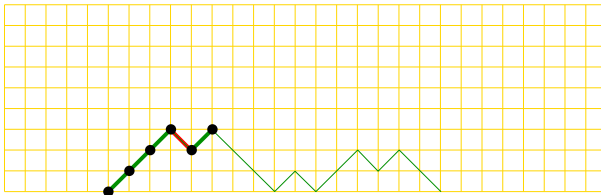
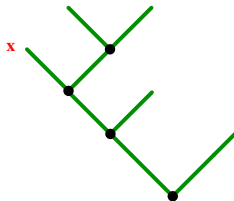
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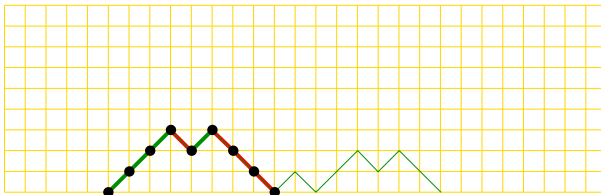
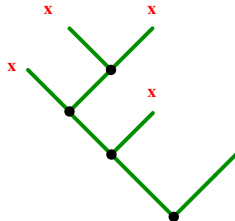
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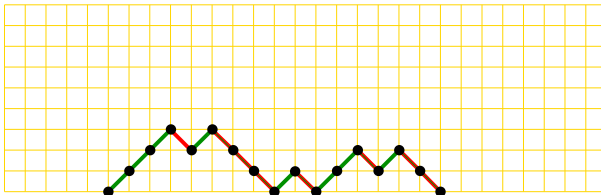
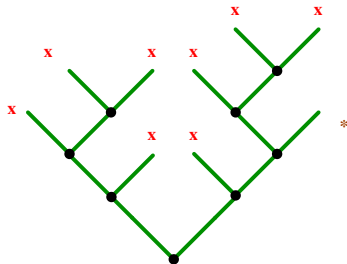
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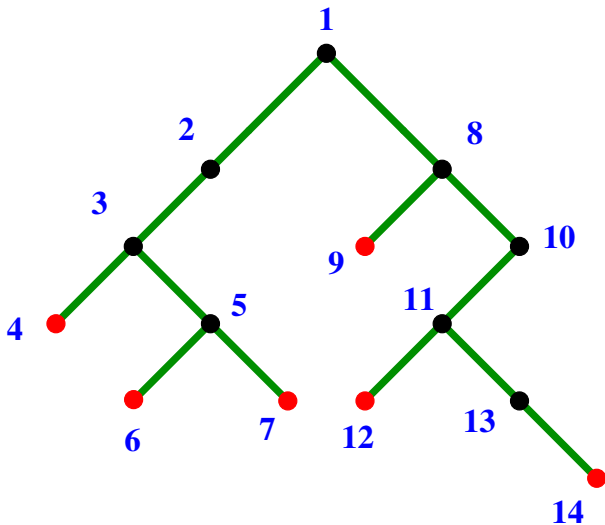
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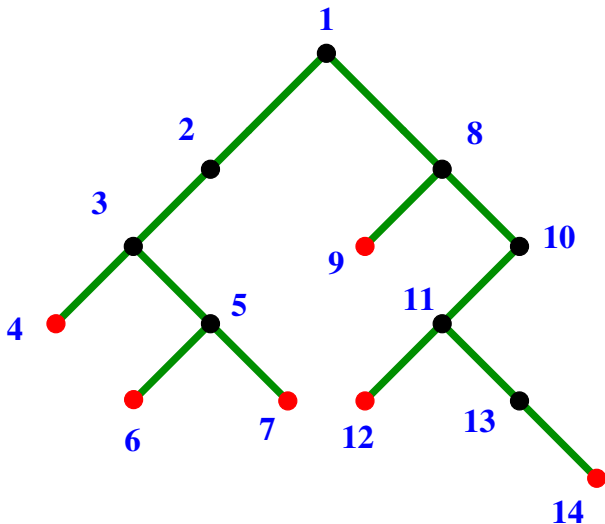
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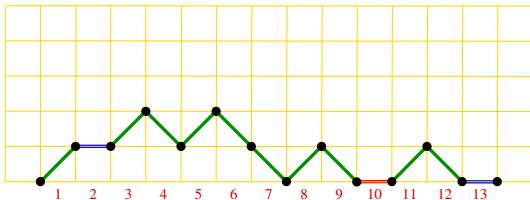
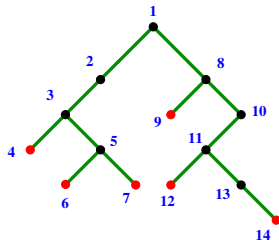
# Bijection: Binary Trees and Motzkin Paths



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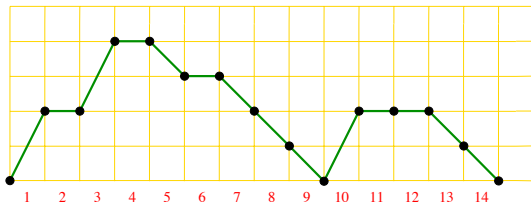
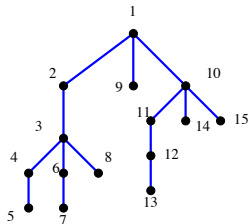
# Bijection: Binary Trees and Motzkin Paths



**Exercise:** Prove that the map is well-defined and that the map is bijective.

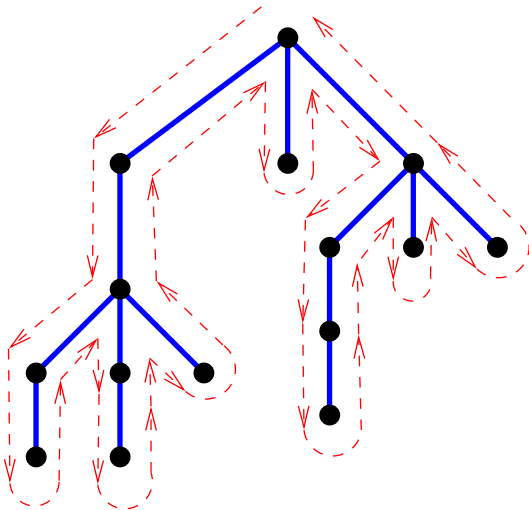


# Bijection: Planar Trees and Lukasiewicz Paths

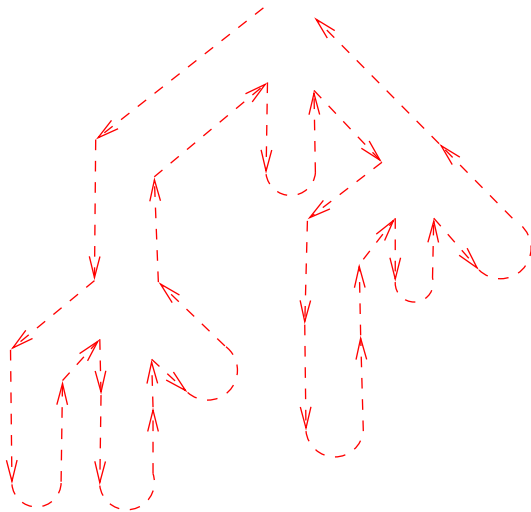


The height of step  $i$  is  $d(i) - 1$ .

## Bijection: Planar Trees and Dyck Paths



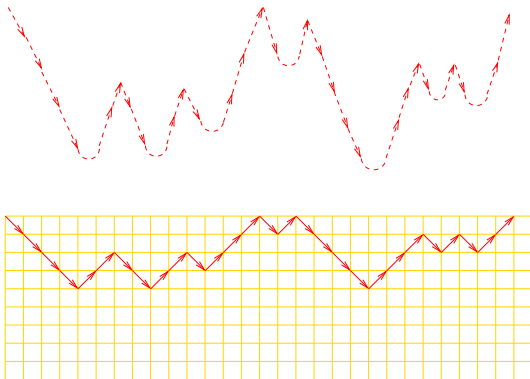
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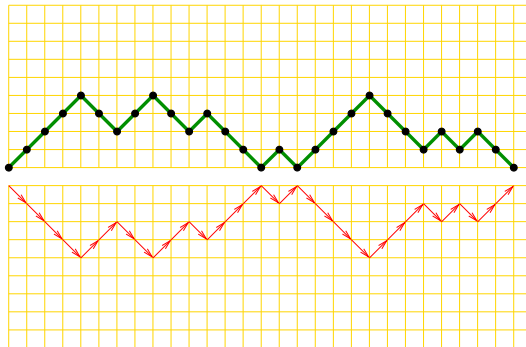
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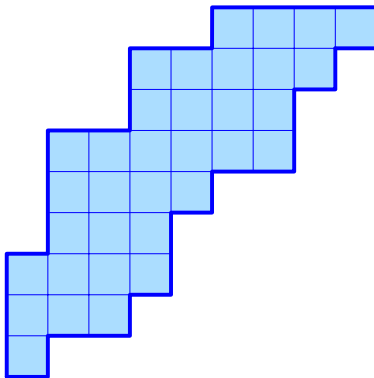
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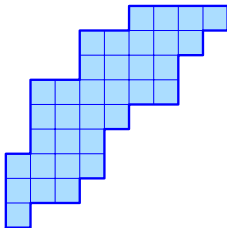
# Bijection: Planar Trees and Dyck Paths



# Bijection on Staircase Polygons



# The Number of Staircase Polygons

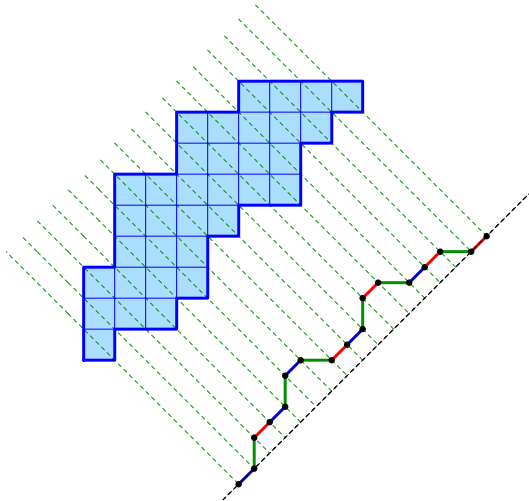


## Theorem

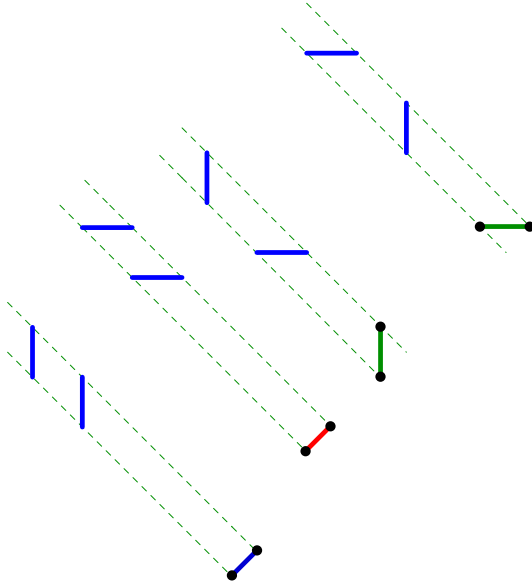
The number of *staircase polygons* of *perimeters*  $2n + 2$  is equal to  $C_n$ .



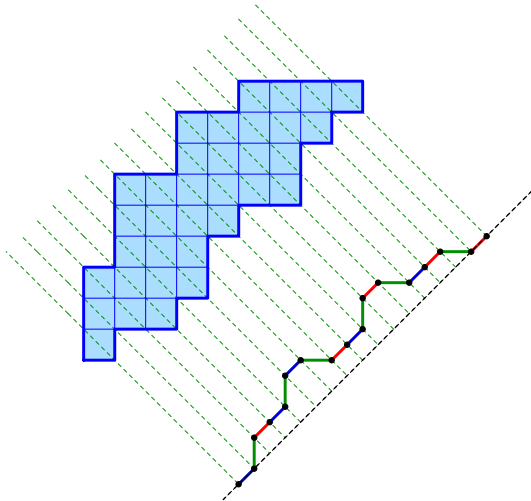
# Bijection: Staircase Polygons – 2-colored Motzkin Paths



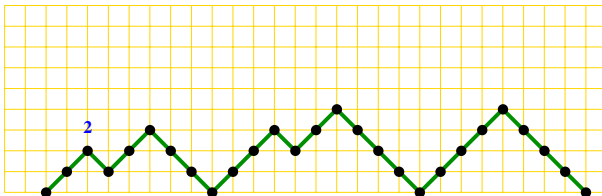
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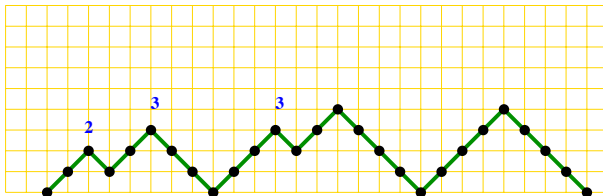
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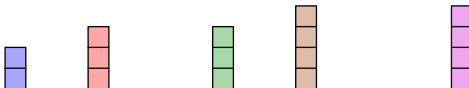
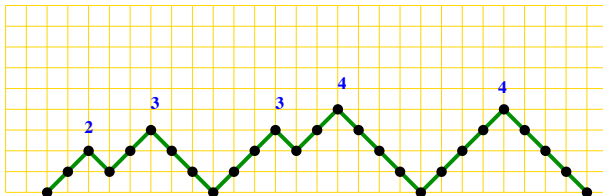
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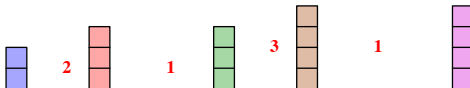
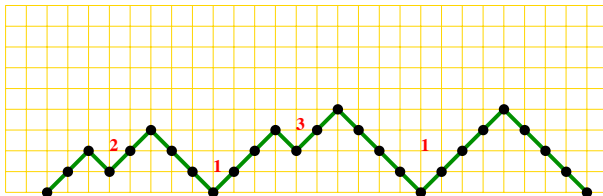
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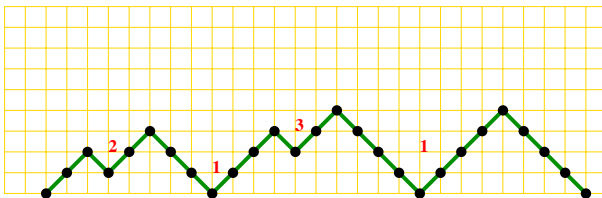
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1



3

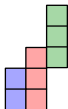
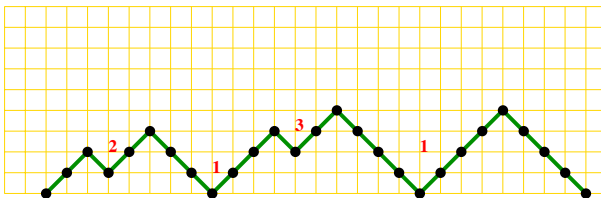


1





# Bijection: Staircase Polygons – Dyck Paths



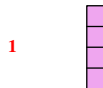
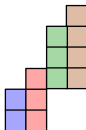
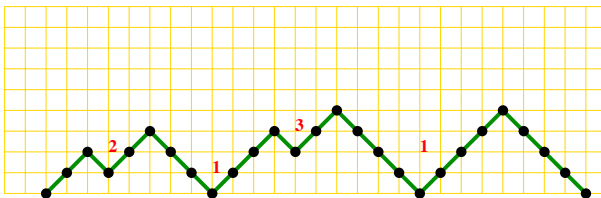
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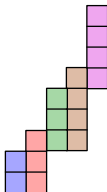
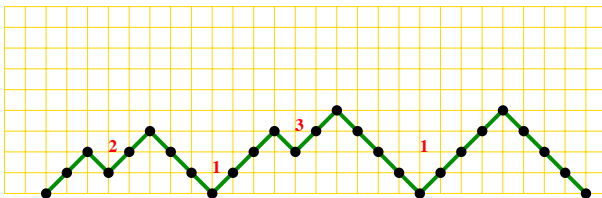
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# Bijection: Staircase Polygons – Dyck Paths



# Bijection: Staircase Polygons – Dyck Paths



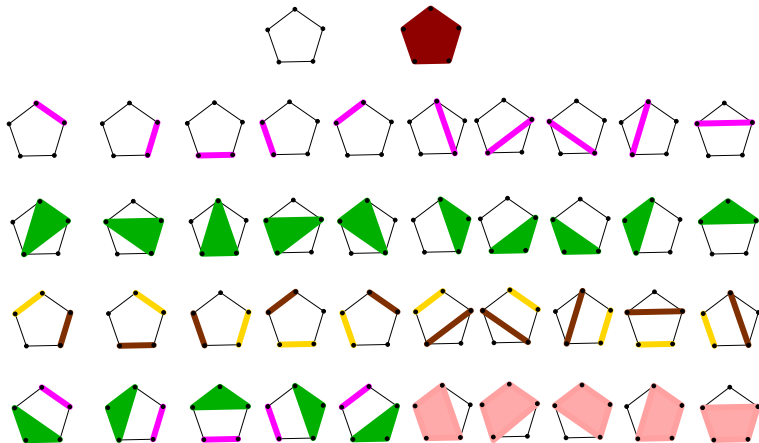
# Non-crossing partitions

A **non-crossing partition** of  $\{1, 2, \dots, n\}$  is a set partition  $\{B_1, B_2, \dots, B_k\}$  such that: There are no  $a < b < c < d$  with  $a, c \in B_i$  and  $b, d \in B_j$  ( $i \neq j$ ).

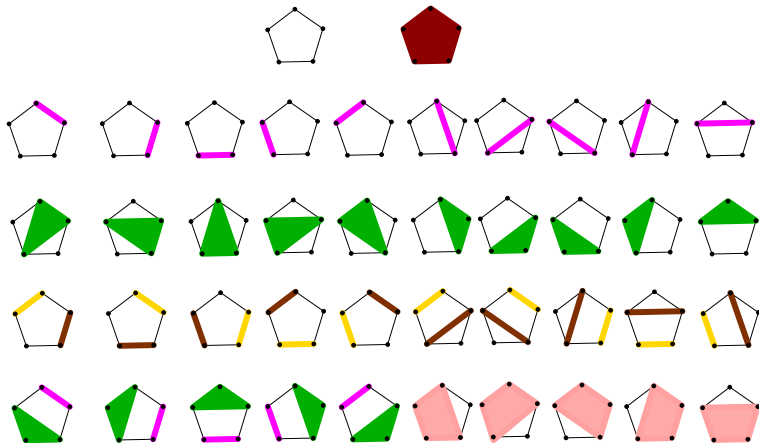
# Non-crossing partitions

- Visualize the  $n$ -set  $S$  as the vertex set of a regular  $n$ -gon.
- Each subset (or block) in a set partition of  $S$  is a polygon containing the corresponding vertices.
- A non-crossing partition is a set partitions such that the polygons corresponding to the blocks are non-intersecting.

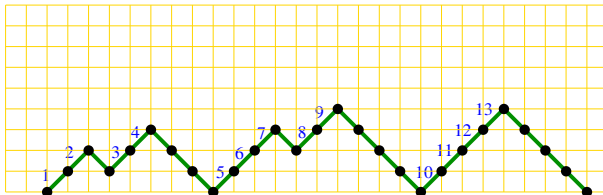
# Non-crossing partitions



# Non-crossing partitions

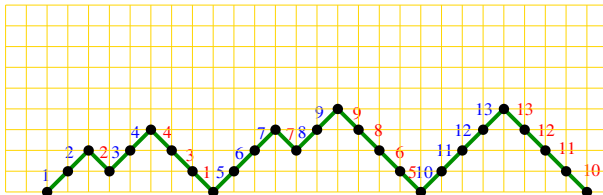


# Bijection: Non-crossing partitions– Dyck Paths

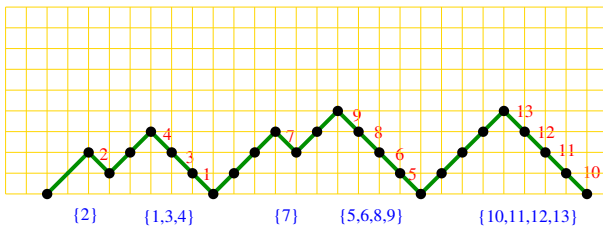




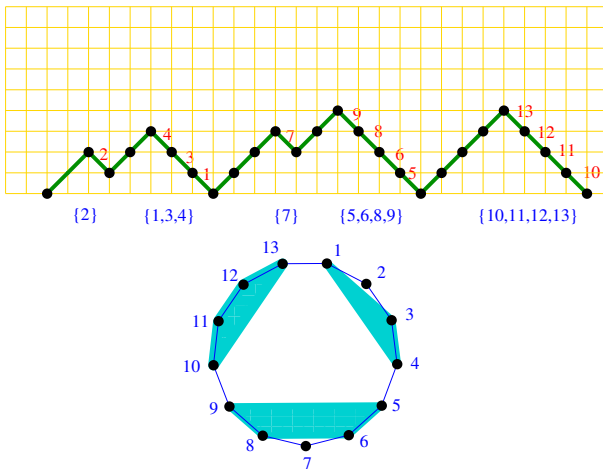
# Bijection: Non-crossing partitions– Dyck Paths



# Bijection: Non-crossing partitions– Dyck Paths

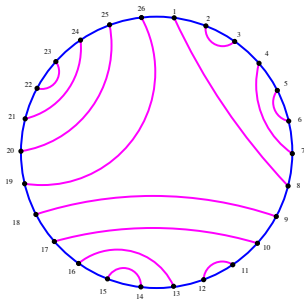


# Bijection: Non-crossing partitions– Dyck Paths



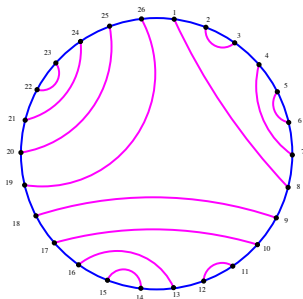
**Homework:** Prove that this map is well-defined and is a bijection.

# Chord Diagrams



- Pairing  $2n$  vertices around the circle by chords;
- The chords are **non-intersecting**.

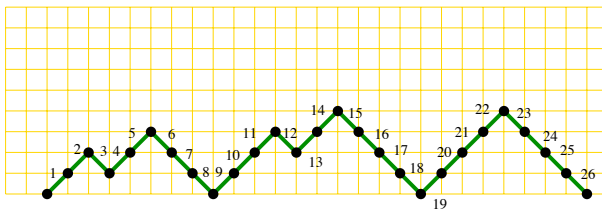
# Chord Diagrams



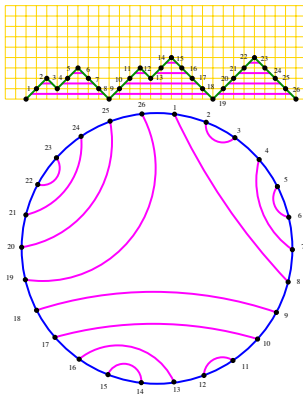
## Theorem

*The number of chord diagrams of  $2n$  vertices is  $C_n$ .*

# Bijection: Dyck Paths – Chord Diagrams



# Bijection: Dyck Paths – Chord Diagrams



# Parenthesis System

- Legal:  $((()())())((()())), ((())()((()()))())()$
- Illegal:  $((()())))(()()(), ((()((()())))))(()()())$

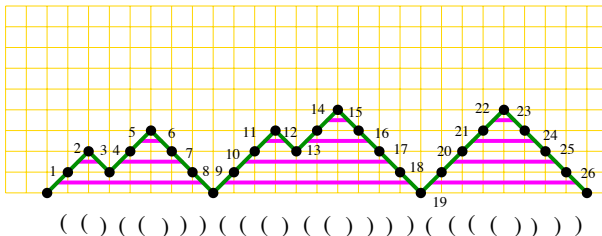
## Theorem

*The number of (legal) systems of  $n$  pairs of parentheses is  $C_n$ .*

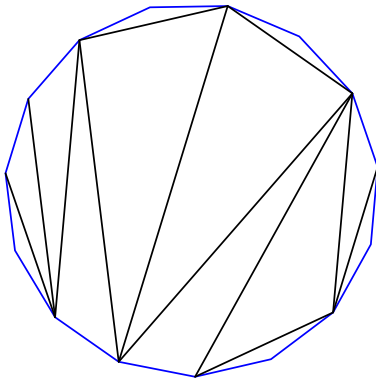


# Bijection: Dyck Paths –Parenthesis System

- Legal:  $((()())(())()$ ,  $((())()((()()))())()$
- Illegal:  $((()())))(()()()$ ,  $((()((()())))))(()()())$



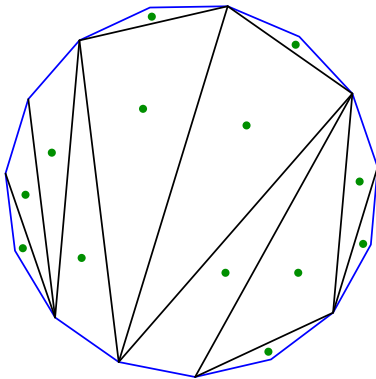
# Triangulation of convex polygon



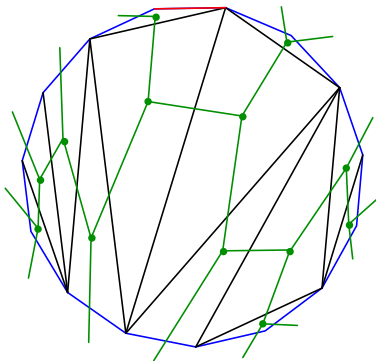
## Theorem

The number of *triangulations* of a convex  $(n+2)$ -gon is  $C_n$ .

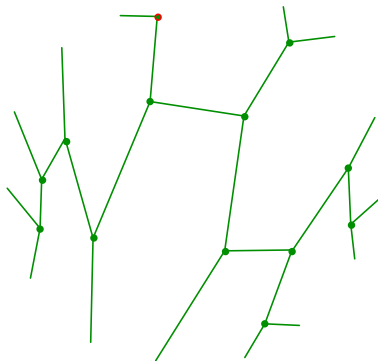
# Bijection: Triangulations – Full Binary Trees



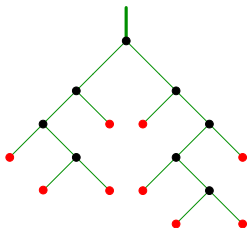
# Bijection: Triangulations – Full Binary Trees



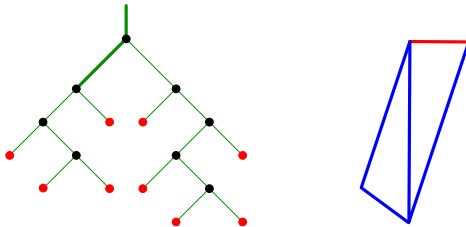
# Bijection: Triangulations – Full Binary Trees



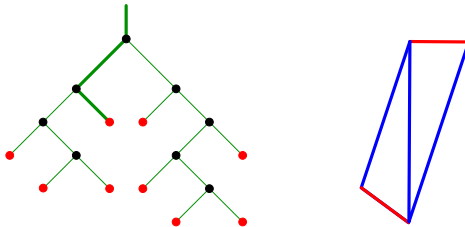
## Reciprocal Bijection: Triangulations – Full Binary Trees



## Reciprocal Bijection: Triangulations – Full Binary Trees

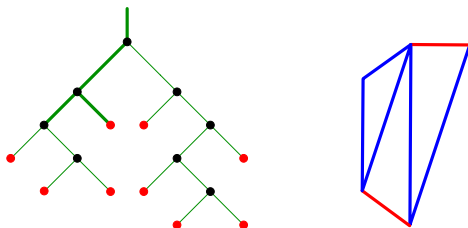


## Reciprocal Bijection: Triangulations – Full Binary Trees

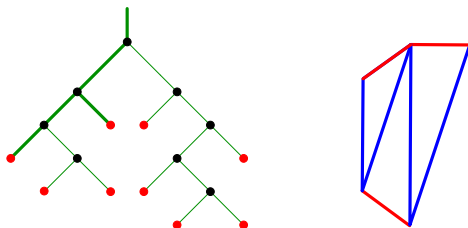




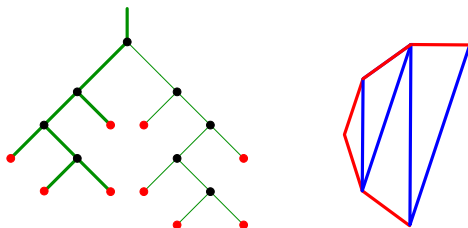
# Reciprocal Bijection: Triangulations – Full Binary Trees



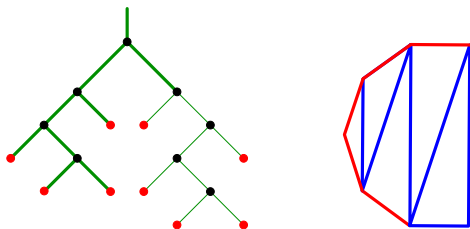
# Reciprocal Bijection: Triangulations – Full Binary Trees



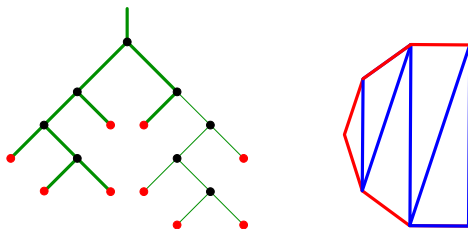
# Reciprocal Bijection: Triangulations – Full Binary Trees



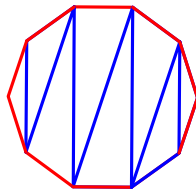
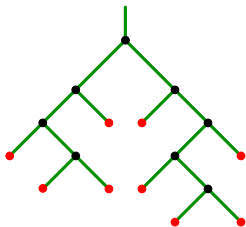
# Reciprocal Bijection: Triangulations – Full Binary Trees



# Reciprocal Bijection: Triangulations – Full Binary Trees



# Reciprocal Bijection: Triangulations – Full Binary Trees



# Ordered Pair of Increasing Sequences

A pair of increasing sequences  $0 < a_1 < a_2 < \dots < a_k < n$  and  $0 < b_1 < b_2 < \dots < b_k < n$  is said to be **ordered** if  $a_i \geq b_i$ .

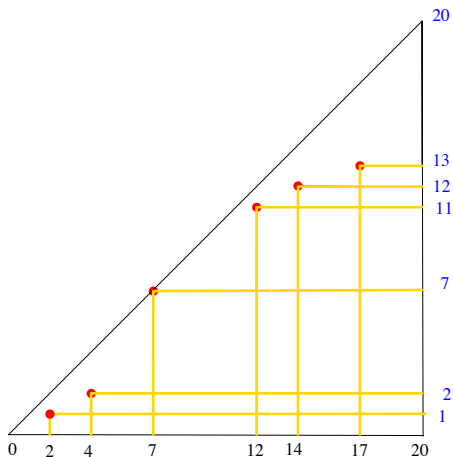
Example:

2	4	7	12	14	17
1	2	7	11	12	13

## Theorem

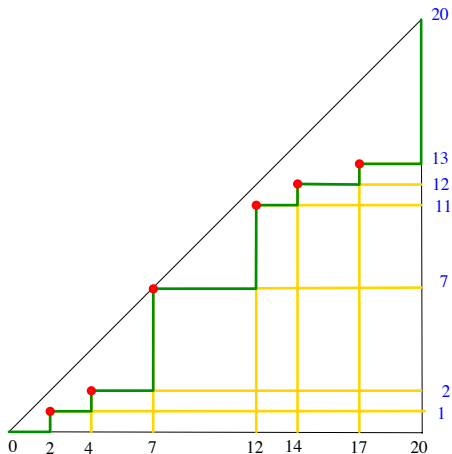
*The number of ordered pairs of sequence of order  $n$  is  $C_n$ .*

# Bijection: Ordered Pairs – Dyck Paths





# Bijection: Ordered Pairs – Dyck Paths



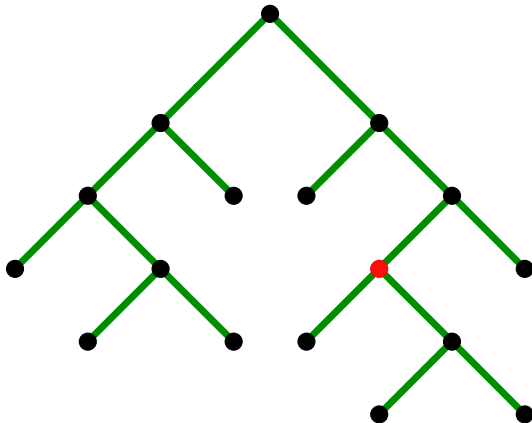
# Exercise

Prove bijectively:

①  $(4n + 2)C_n = (n + 2)C_{n+1}$

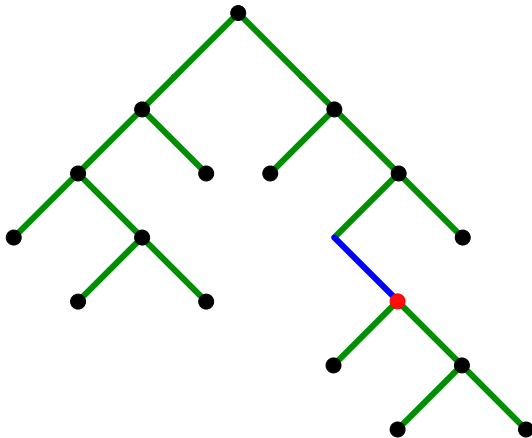
② (Touchard identity)  $C_{n+1} = \sum_{1 \leq i \leq \lfloor n/2 \rfloor} \binom{n}{2i} C_i 2^{n-2i}$

Prove  $(4n + 2)C_n = (n + 2)C_{n+1}$



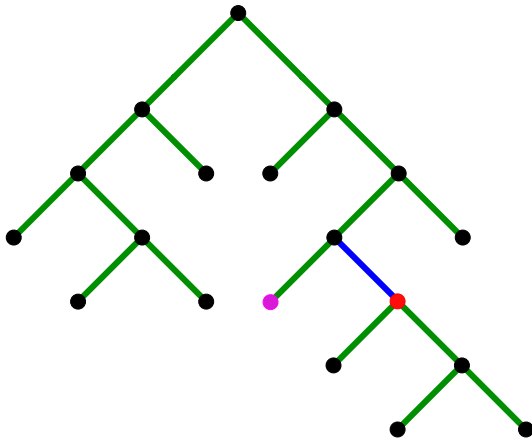
Pick a vertex randomly from a full binary tree with  $2n + 1$  vertices.

Prove  $(4n + 2)C_n = (n + 2)C_{n+1}$



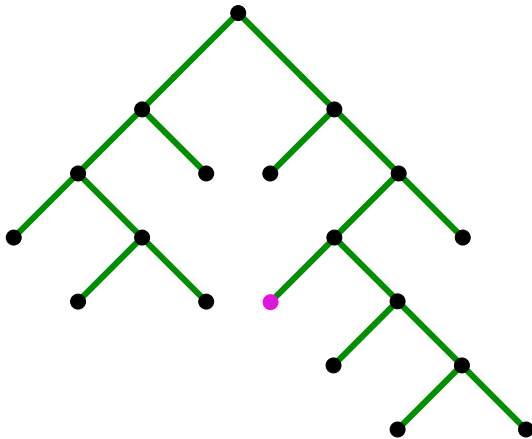
Choose one of two options: slide the subtree to the **left** or to the **right**.

Prove  $(4n + 2)C_n = (n + 2)C_{n+1}$



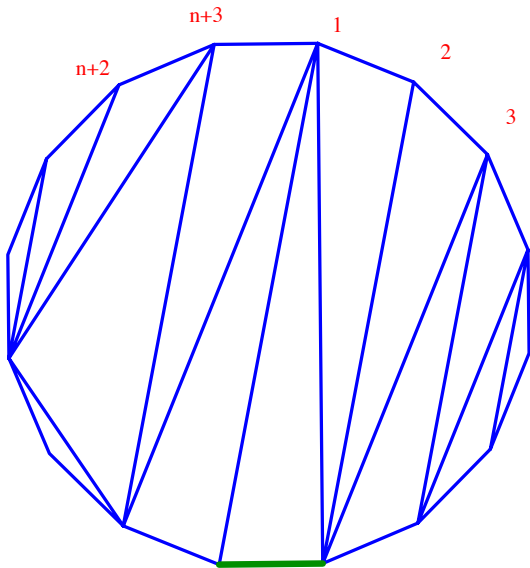
Add the missing leaf, and mark it.

Prove  $(4n + 2)C_n = (n + 2)C_{n+1}$



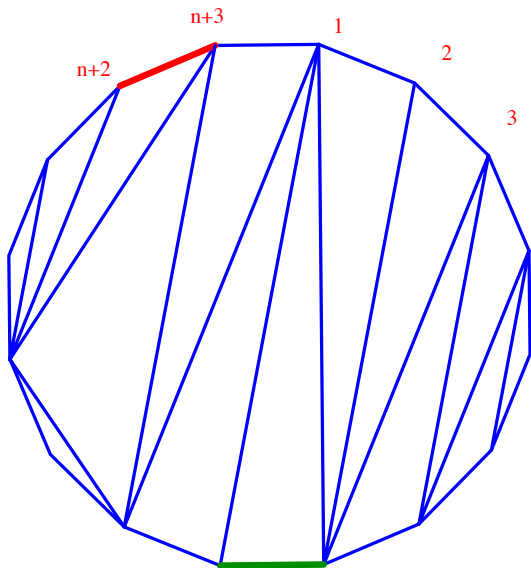
We get a full binary tree with  $2n + 3$  vertices and a marked leaf.

Prove  $(4n + 2)C_n = (n + 2)C_{n+1}$ : Second solution.



Pick a base in a  $(n+3)$ -gon.

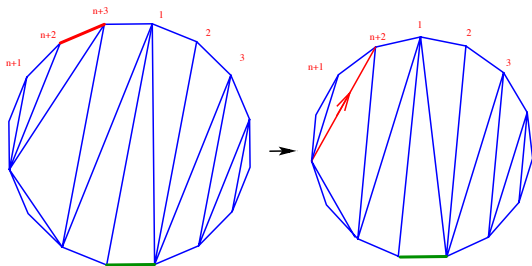
Prove  $(4n + 2)C_n = (n + 2)C_{n+1}$ : Second solution.



Pick a base in a  $(n + 3)$ -gon, then mark randomly an edge of the polygon



Prove  $(4n + 2)C_n = (n + 2)C_{n+1}$ : Second solution.



Collapse the marked edge to obtain a triangulation of a  $(n + 2)$ -gon,  
marked and orient the merged edge or diagonal.

# Exercise

Prove bijectively:

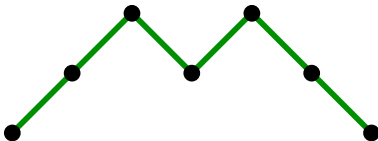
①  $(4n + 2)C_n = (n + 2)C_{n+1}$

② (Touchard identity)  $C_{n+1} = \sum_{1 \leq i \leq \lfloor n/2 \rfloor} \binom{n}{2i} C_i 2^{n-2i}$

# Prove Touchard Identity

Work on the RHS:

- Pick a Dyck path of length  $2i$  in  $C_i$  ways.



# Prove Touchard Identity

- Pick a  $2i$ -subset of  $\{1, 2, \dots, n\}$  in  $\binom{n}{2i}$  ways.



# Prove Touchard Identity

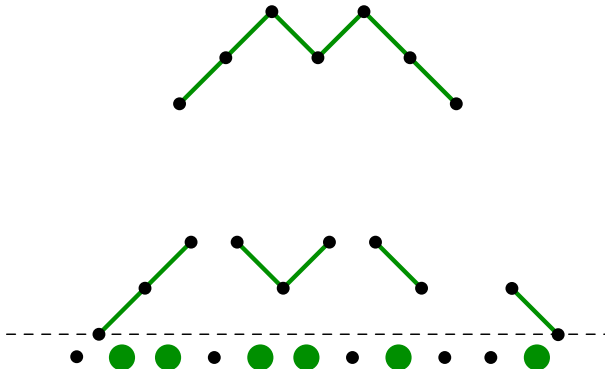
- Pick a  $2i$ -subset of  $\{1, 2, \dots, n\}$  in  $\binom{n}{2i}$  ways.



# Prove Touchard Identity

Work on the RHS:

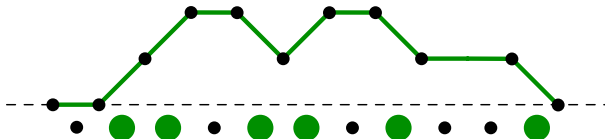
- Break the Dyck path.



# Prove Touchard Identity

Work on the RHS:

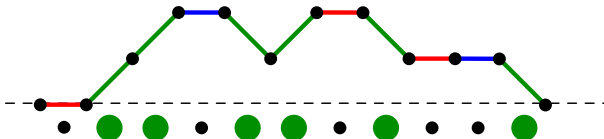
- Add  $n - 2i$  horizontal steps.



# Prove Touchard Identity

Work on the RHS:

- Color  $n - 2i$  horizontal steps in  $2^{n-2i}$  ways.





# Prove Touchard Identity

Work on the RHS:

- Pick a Dyck path of length  $2i$  in  $C_i$  ways.
- Pick a  $2i$ -subset of  $\{1, 2, \dots, n\}$  in  $\binom{n}{2i}$  ways.
- Break the Dyck path and add  $n - 2i$  horizontal steps.
- Color  $n - 2i$  horizontal steps in  $2^{n-2i}$  ways.
- Get a 2-colored Motzkin paths of length  $n$ .

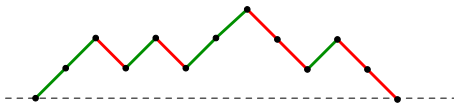
## Corollary

*The number of 2-colored Motzkin paths of length  $n$  with  $n - 2i$  flat steps is  $\binom{n}{2i} C_i 2^{n-2i}$ .*

# Restricted Dyck paths

## Theorem

The number of *UUU*-free Dyck paths of length  $2n$  is  $M_n$ , the number of (monochromatic) Motzkin paths of length  $n$ .

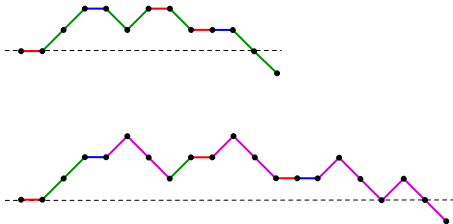


Exercise: Prove the theorem.

## Theorem

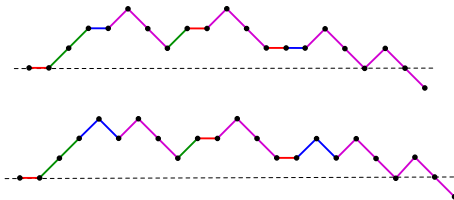
- (i) The number of Dyck paths of length  $2n$  containing exactly  $k$  'UDU's is  $\binom{n-1}{k} M_{n-1-k}$ .
- (ii) The number of Dyck paths of length  $2n$  containing exactly  $k$  'DDU's is  $\binom{n-1}{2k} 2^{n-1-2k} C_k$ .

# Bijection: 2-colored Motzkin paths – Restricted Dyck paths (Callan 2004)



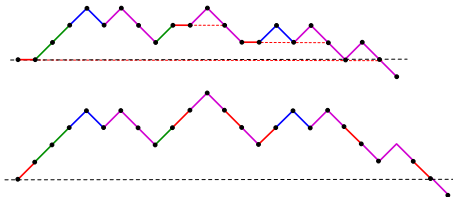
Append a  $D$  step. Replace  $D$  by  $UDD$ .

# Bijection: 2-colored Motzkin paths – Restricted Dyck paths



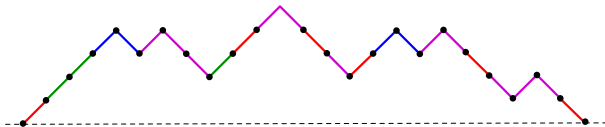
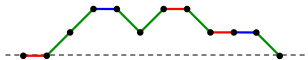
Replace  $F$  by  $UD$ .

# Bijection: 2-colored Motzkin paths – Restricted Dyck paths



Replace  $F$  by  $U$  and insert a  $D$  immediately before its associated down step. Remove the last  $D$  step.

# Bijection: 2-colored Motzkin paths – Restricted Dyck paths



Exercise:

- 1 Prove that this map is indeed a bijection.
- 2 # flat steps in 2-colored Motzkin path = # UDU in the Dyck path.
- 3 # down steps in 2-colored Motzkin path = # DDU in the Dyck path.

# Proof of part (i)

- # Dyck paths of length  $2n$  with  $k$  UDUs = # 2-colored Motzkin paths of length  $n - 1$  with  $k$  flat steps.



# Proof of part (i)

- # Dyck paths of length  $2n$  with  $k$  UDUs = # 2-colored Motzkin paths of length  $n - 1$  with  $k$  flat steps.
- Cut off these  $k$  flat steps from the Motzkin path, we get a monochromatic Motzkin path of length  $n - 1 - k$ .

# Proof of part (i)

- # Dyck paths of length  $2n$  with  $k$  UDUs = # 2-colored Motzkin paths of length  $n - 1$  with  $k$  flat steps.
- Cut off these  $k$  flat steps from the Motzkin path, we get a monochromatic Motzkin path of length  $n - 1 - k$ .
- # 2-colored Motzkin paths of length  $n - 1$  with  $k$  flat steps =  $\binom{n-1}{k} \times$  # monochromatic Motzkin path of length  $n - 1 - k$ .

# Proof of part (i)

- # Dyck paths of length  $2n$  with  $k$  UDUs = # 2-colored Motzkin paths of length  $n - 1$  with  $k$  flat steps.
- Cut off these  $k$  flat steps from the Motzkin path, we get a monochromatic Motzkin path of length  $n - 1 - k$ .
- # 2-colored Motzkin paths of length  $n - 1$  with  $k$  flat steps =  $\binom{n-1}{k} \times$  # monochromatic Motzkin path of length  $n - 1 - k$ .
- # Dyck paths of length  $2n$  with  $k$  UDUs =  $\binom{n-1}{k} \times$  # monochromatic Motzkin path of length  $n - 1 - k$

## Proof of part (ii)

- $\#$  Dyck paths of length  $2n$  with  $k$  DDU's =  $\#$  2-colored Motzkin paths of length  $n - 1$  with  $k$  down steps.

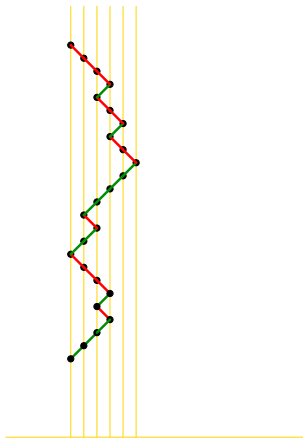
## Proof of part (ii)

- # Dyck paths of length  $2n$  with  $k$  DDU's = # 2-colored Motzkin paths of length  $n - 1$  with  $k$  down steps.
- (Corollary in proof of Touchard Identity) # 2-colored Motzkin paths of length  $n - 1$  with  $k$  down steps =  $\binom{n-1}{2k} 2^{n-1-2k} C_k$ .

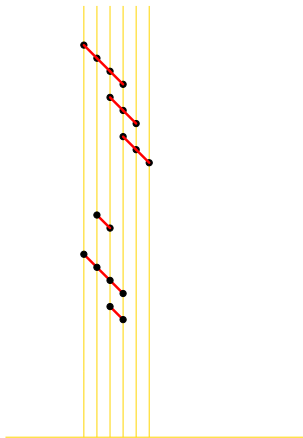
## Proof of part (ii)

- # Dyck paths of length  $2n$  with  $k$  DDU's = # 2-colored Motzkin paths of length  $n - 1$  with  $k$  down steps.
- (Corollary in proof of Touchard Identity) # 2-colored Motzkin paths of length  $n - 1$  with  $k$  down steps =  $\binom{n-1}{2k} 2^{n-1-2k} C_k$ .
- # Dyck paths of length  $2n$  with  $k$  DDU's =  $\binom{n-1}{2k} 2^{n-1-2k} C_k$ .

# Bijection: Dyck paths – Semi-pyramids

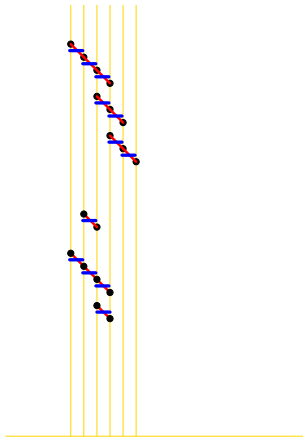


# Bijection: Dyck paths – Semi-pyramids

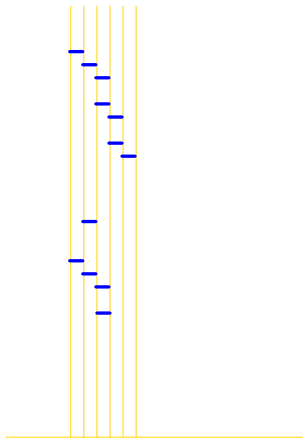




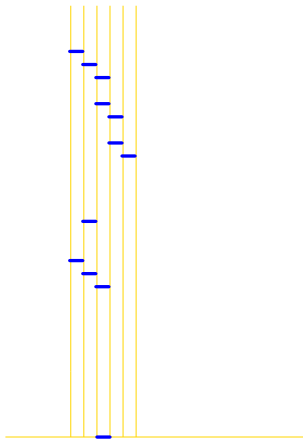
# Bijection: Dyck paths – Semi-pyramids



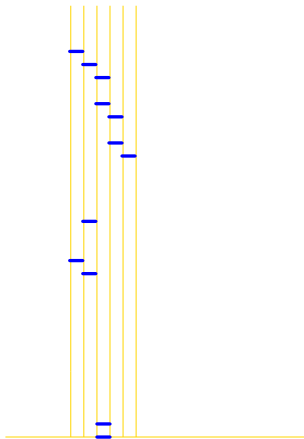
# Bijection: Dyck paths – Semi-pyramids



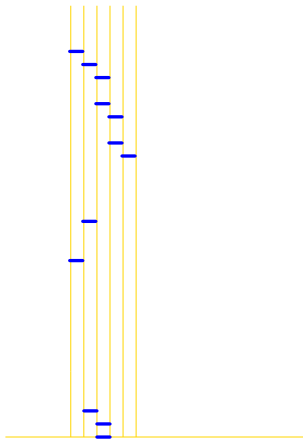
# Bijection: Dyck paths – Semi-pyramids



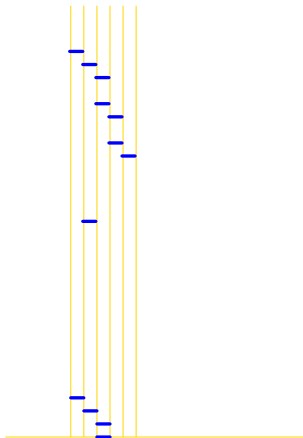
# Bijection: Dyck paths – Semi-pyramids



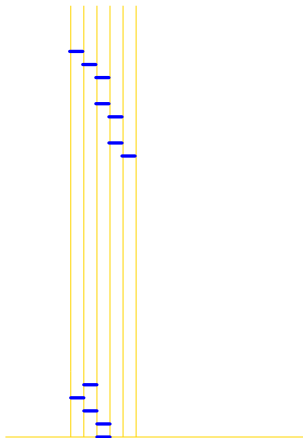
# Bijection: Dyck paths – Semi-pyramids



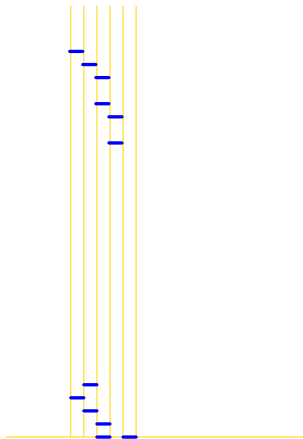
# Bijection: Dyck paths – Semi-pyramids



# Bijection: Dyck paths – Semi-pyramids

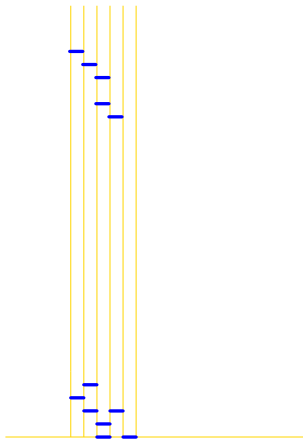


# Bijection: Dyck paths – Semi-pyramids

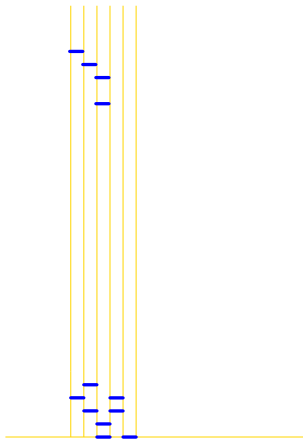




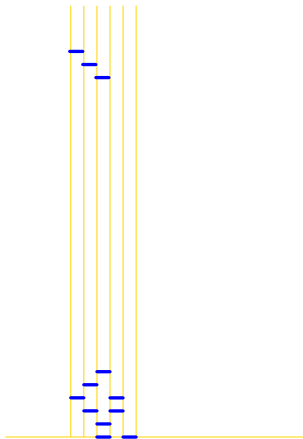
# Bijection: Dyck paths – Semi-pyramids



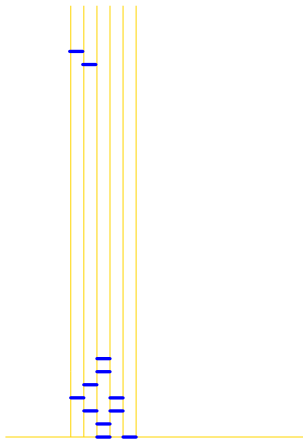
# Bijection: Dyck paths – Semi-pyramids



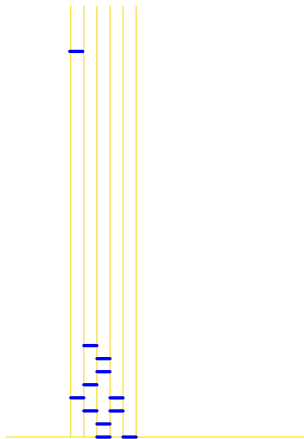
# Bijection: Dyck paths – Semi-pyramids



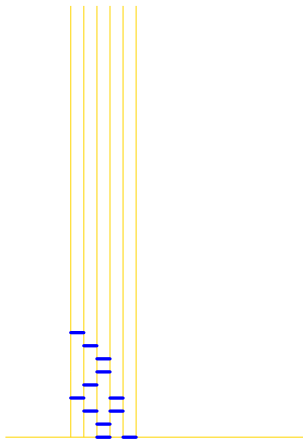
# Bijection: Dyck paths – Semi-pyramids



# Bijection: Dyck paths – Semi-pyramids

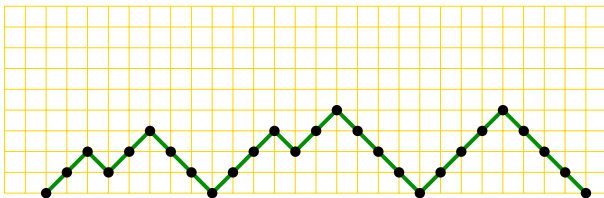


# Bijection: Dyck paths – Semi-pyramids



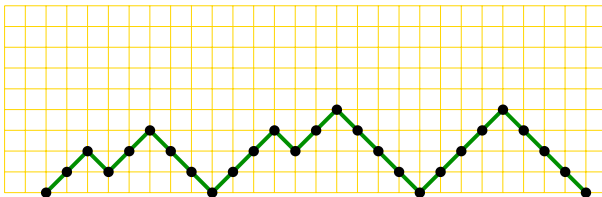
Exercise: Find the reciprocal bijection.

# The height of Dyck paths



The **height** of a Dyck path is its maximum level.

# The logarithmic height of Dyck paths

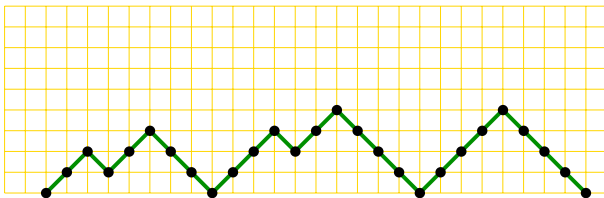


A Dyck path  $w$  has the height  $h(w)$ . Then the logarithmic height  $\ell h(w)$  is

$$\lfloor \log_2(1 + h(w)) \rfloor$$



# The logarithmic height of Dyck paths



$$\ell h(w) = k$$

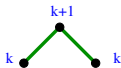
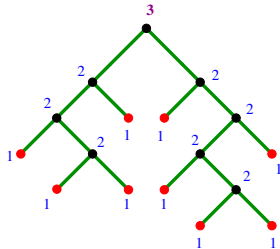
$$\Leftrightarrow 2^k - 1 \leq h(w) \leq 2^{k+1} - 1$$

# The logarithmic height of Dyck paths

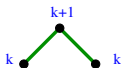
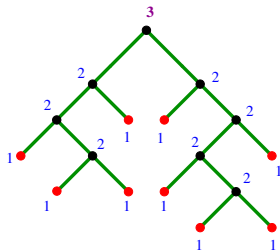
## Theorem

*The number of Dyck paths of length  $2n$  with logarithmic height  $k$  = The number of full binary trees on  $n$  internal vertices and with Strahler number  $k$ .*

# Strahler number

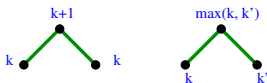
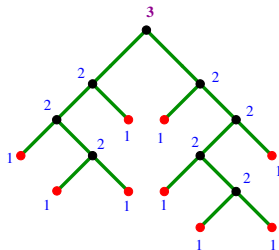


# Strahler number



$$S(t, x) = \sum_{n, k} S_{n, k} x^k t^n$$

# Strahler number

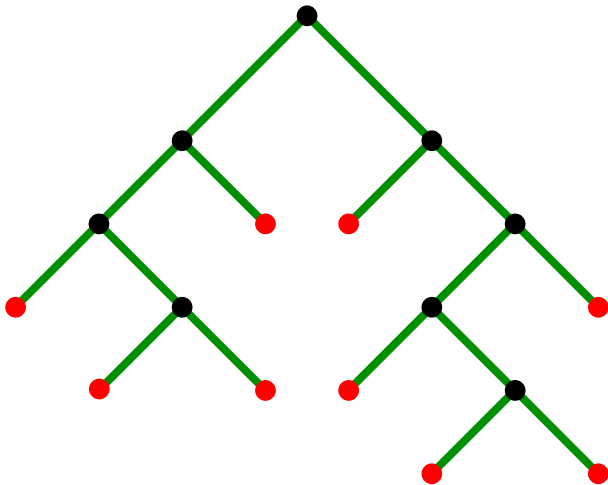


Frangon (1984)

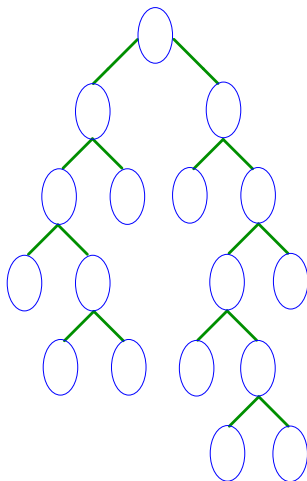
Knuth (2005)

$$S(t, x) = 1 + \frac{xt}{1-2t} S\left(\left(\frac{t}{1-2t}\right)^2, x\right)$$

# Bijection increasing the Strahler number

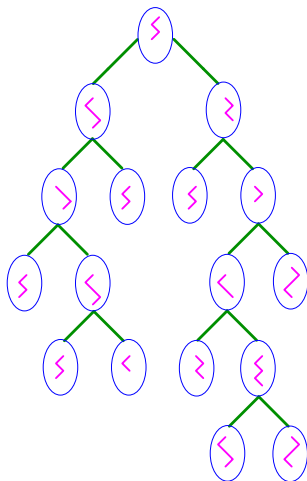


# Bijection increasing the Strahler number



Replace each **vertex** by a **zigzag line**.

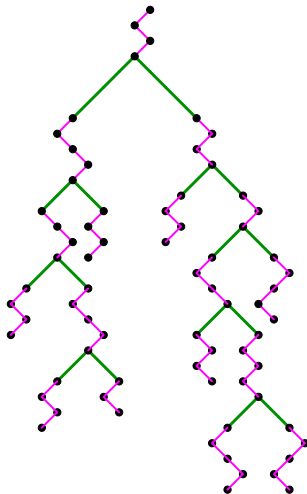
## Bijection increasing the Strahler number



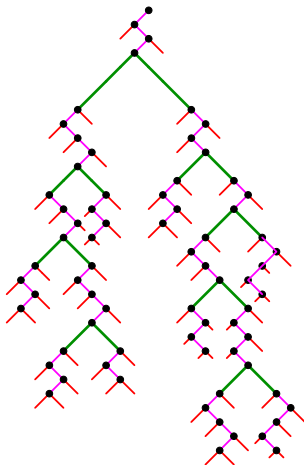
Replace each **vertex** by a **zigzag** line.



# Bijection increasing the Strahler number



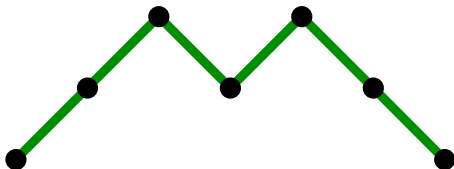
# Bijection increasing the Strahler number



Branching out along the **zigzag lines**.

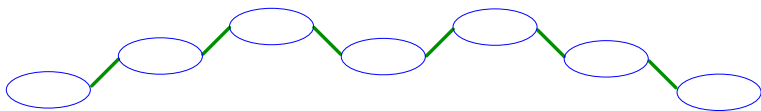
We can do the same with Dyck paths

# Bijection increasing the logarithmic height



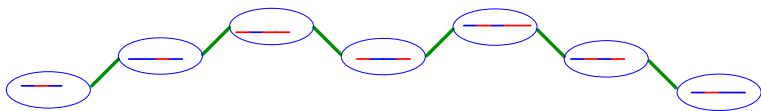
Replace each **vertex** by a 2-colored horizontal path.

# Bijection increasing the logarithmic height



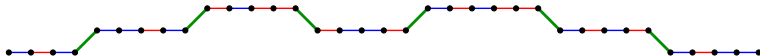
Replace each **vertex** by a **2-colored horizontal path**.

# Bijection increasing the logarithmic height



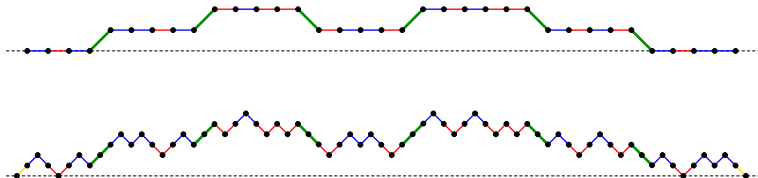
Replace each **vertex** by a **2-colored horizontal path**.

# Bijection increasing the logarithmic height



Obtaining a 2-colored Motzkin path.

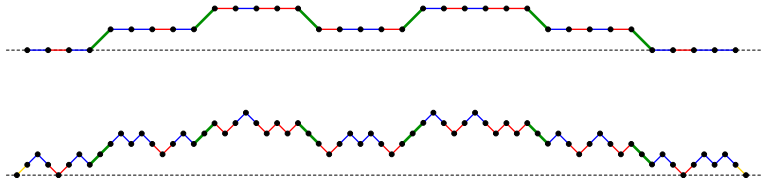
# Bijection increasing the logarithmic height



Converting back a Dyck path.



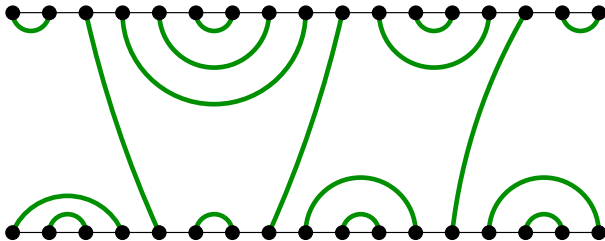
## Bijection increasing the logarithmic height



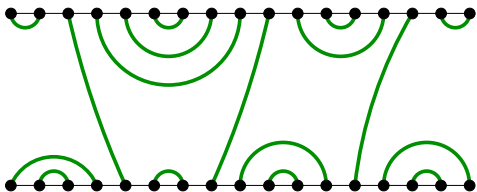
## Converting back a Dyck path.

**Exercise:** Prove that the process increases the logarithmic height 1 unit.

## (Another) Chord diagram

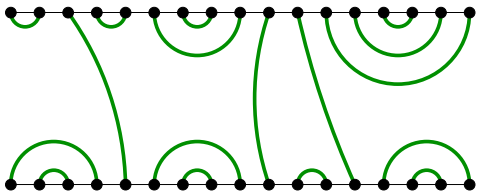


# Multiplying Two Chord Diagrams

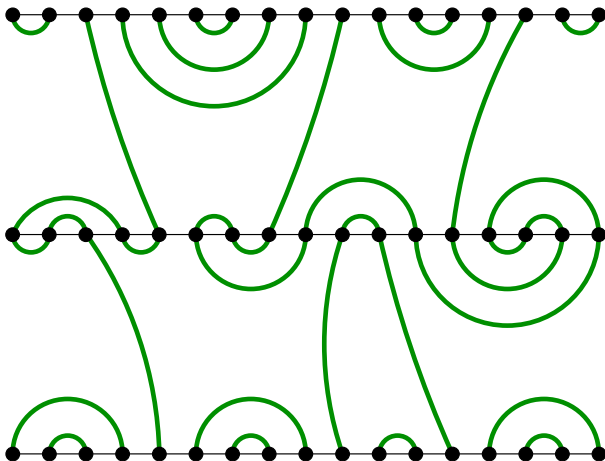


x

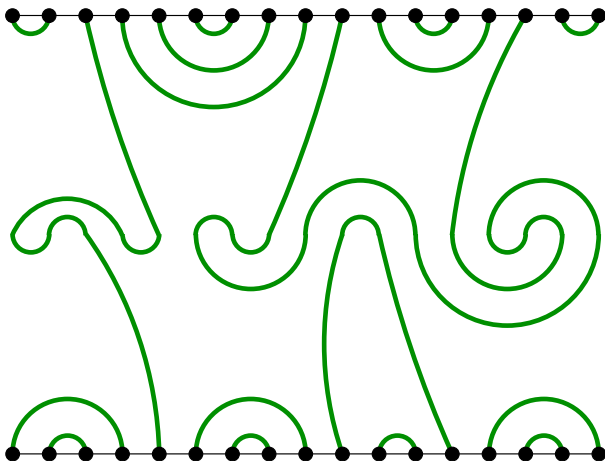
= ?



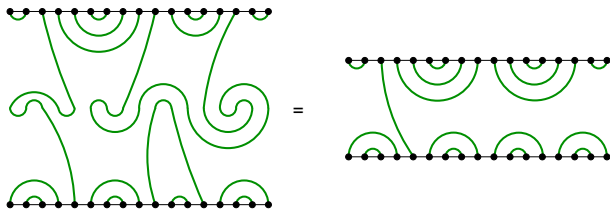
# Multiplying Two Chord Diagrams



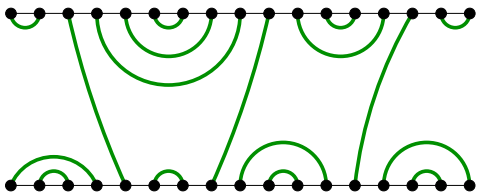
# Multiplying Two Chord Diagrams



# Multiplying Two Chord Diagrams

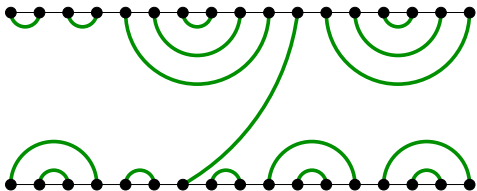


# Multiplying Two Chord Diagrams

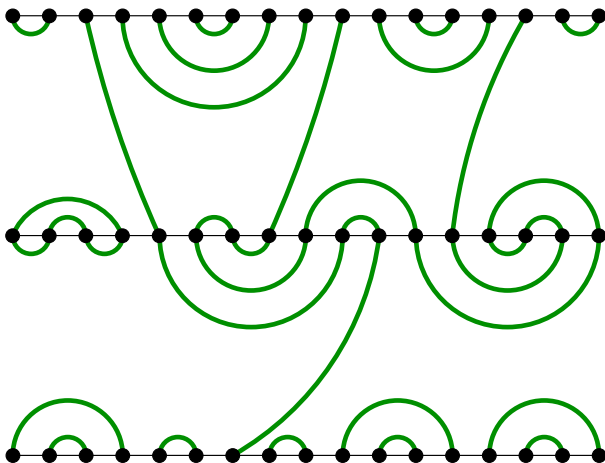


x

= ?

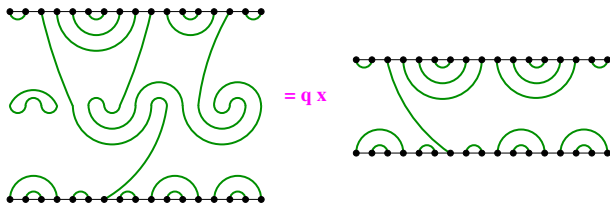


# Multiplying Two Chord Diagrams





# Multiplying Two Chord Diagrams

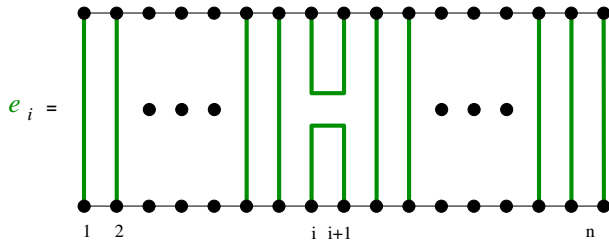


# Temperley - Lieb Algebra

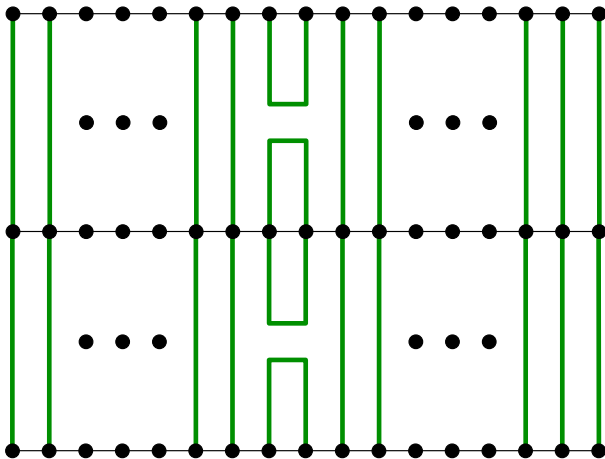
The Temperley-Lieb algebra  $TL_n(q)$  generated by  $\{e_1, e_2, \dots, e_{n-1}\}$ :

- ①  $e_i^2 = qe_i$
- ②  $e_i e_j = e_j e_i$  if  $|i - j| > 1$
- ③  $e_i e_{i+1} e_i = e_i e_{i-1} e_i = e_i$

# Diagram of $e_i$

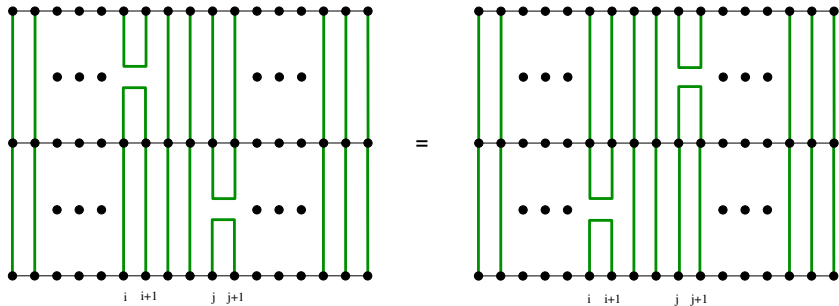


# Diagram of $e_i$



$$e_i^2 = q e_i$$

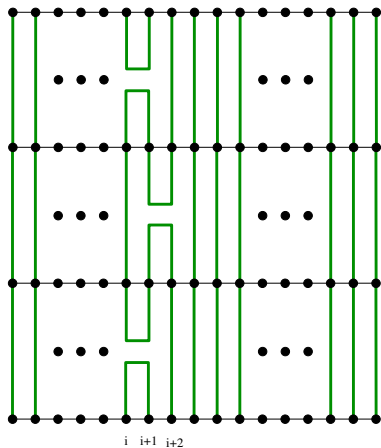
# Diagram of $e_i$



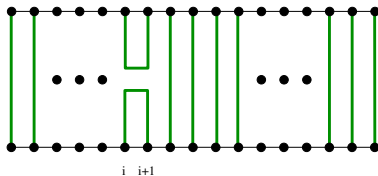
$$e_i e_j = e_j e_i$$

$$|i-j| > 1$$

# Diagram of $e_i$



=

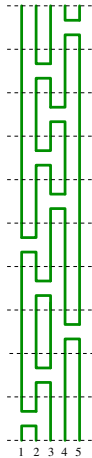


$$e_i e_{i+1} e_i = e_i$$

# Exercise

Simplify:  $e_1 e_2 e_4 e_2 e_1 e_3 e_2 e_3 e_2 e_4$

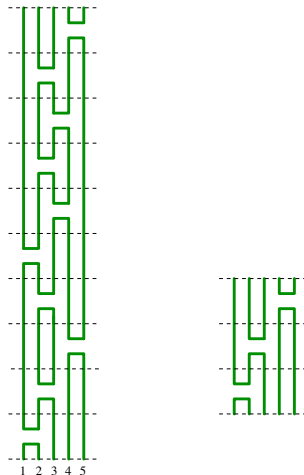
# Exercise



$e_1 e_2 e_4 e_2 e_1 e_3 e_2 e_3 e_2 e_4$



# Exercise



$$e_1 e_2 e_4 e_2 e_1 e_3 e_2 e_3 e_2 e_4 = q e_1 e_2 e_4$$

# Temperley-Lieb Algebra and Heap

$$e_1 e_2 e_4 e_2 e_1 e_3 e_2 e_3 e_2 e_4 = q e_1 e_2 e_4$$

# Temperley-Lieb Algebra and Heap

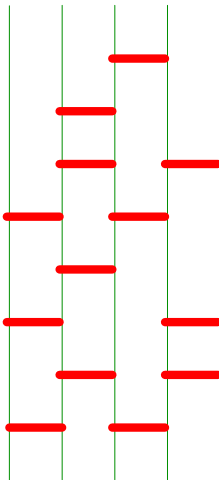
The **Normalized** Temperley-Lieb algebra  $NTL_n(q)$  generated by  $\{e_1, e_2, \dots, e_{n-1}\}$  is a Temperley-Lieb algebra with the following patterns forbidden

- ①  $e_i^2$
- ②  $e_i e_{i+1} e_i$
- ③  $e_i e_{i-1} e_i$

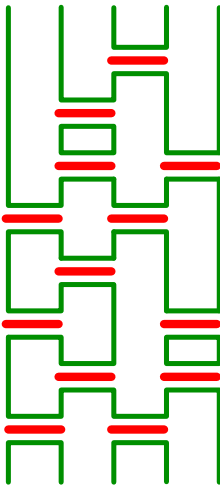
# Temperley-Lieb Algebra and Heap

$$e_1 e_2 e_4 e_2 e_1 e_3 e_2 e_3 e_2 e_4 = q e_1 e_2 e_4$$

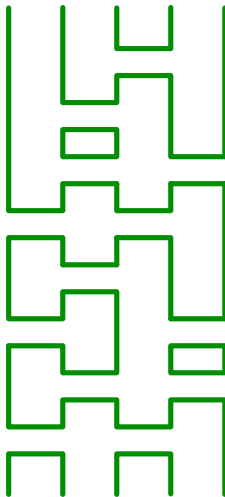
# Temperley-Lieb Algebra and Heap



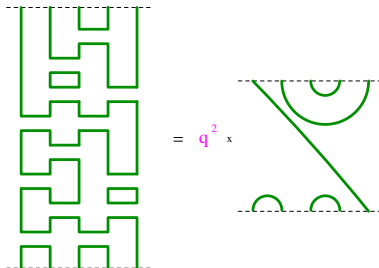
# Temperley-Lieb Algebra and Heap



# Temperley-Lieb Algebra and Heap

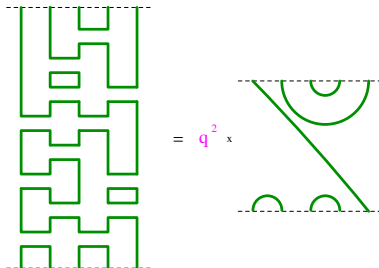


# Temperley-Lieb Algebra and Heap

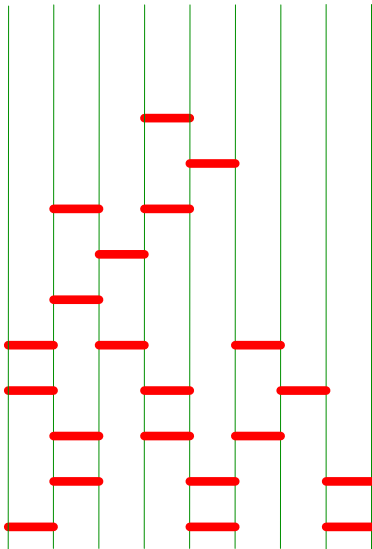




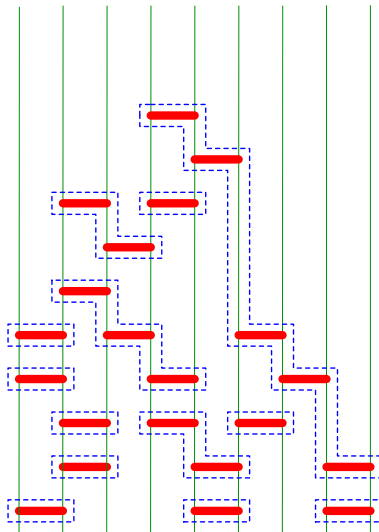
# Temperley-Lieb Algebra and Heap



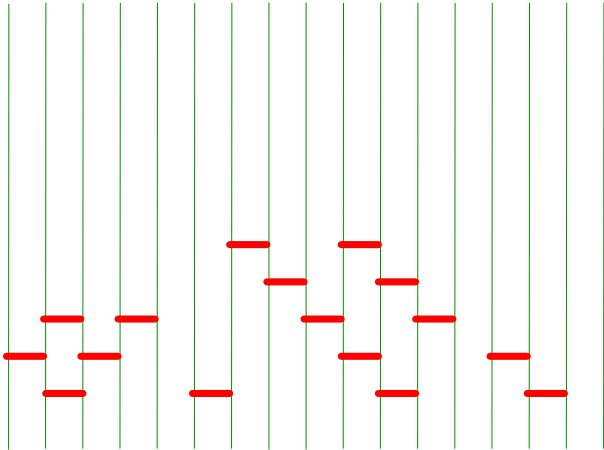
# Staircase Decomposition



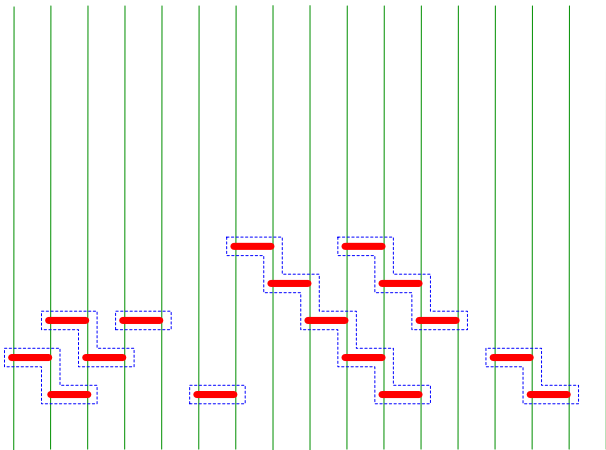
# Staircase Decomposition



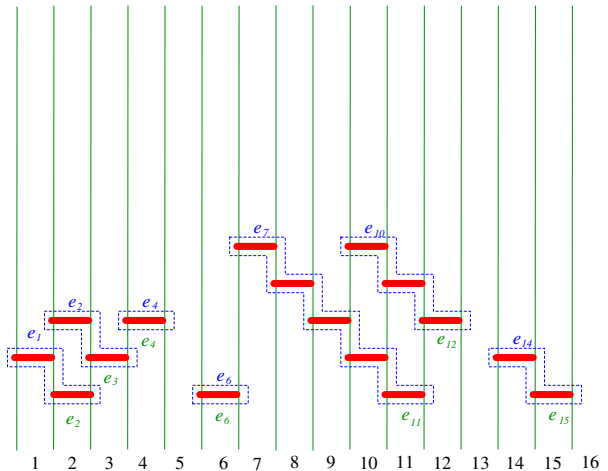
# Staircase Decomposition (Strict Heap)



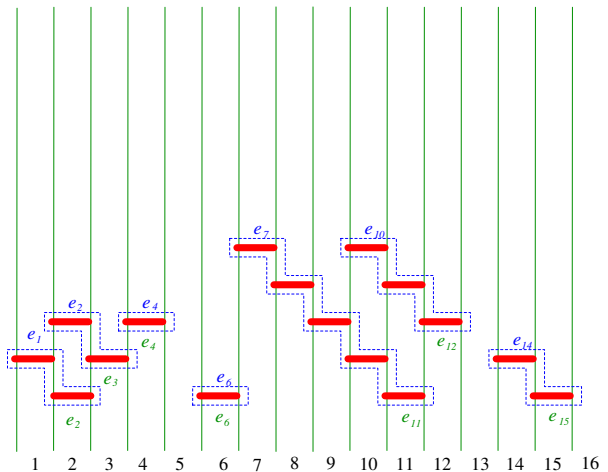
# Staircase Decomposition (Strict Heap)



# Staircase Decomposition (Strict Heap)



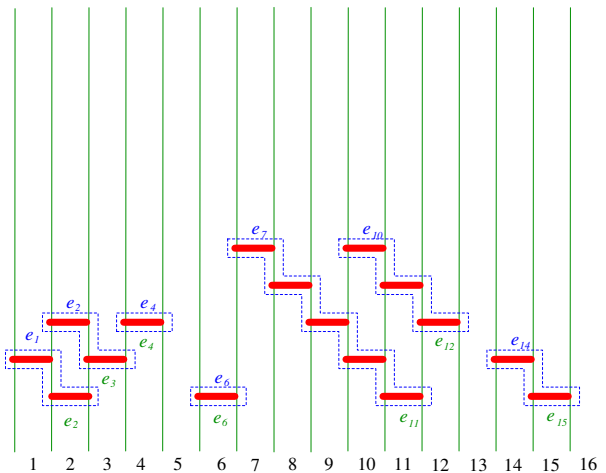
# Staircase Decomposition (Strict Heap)



## Lemma

*The the higher staircase has the top (bottom) dimer strictly to the left of that of the lower staircase.*

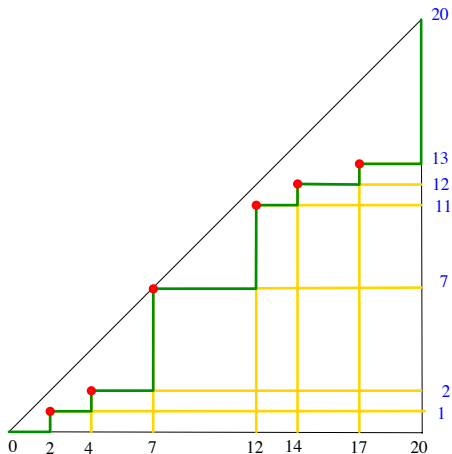
# Staircase Decomposition (Strict Heap)



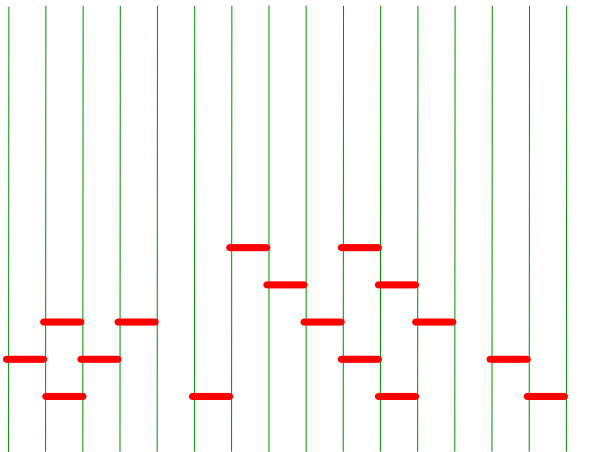
1	2	4	6	7	10	14
2	3	4	6	11	12	15



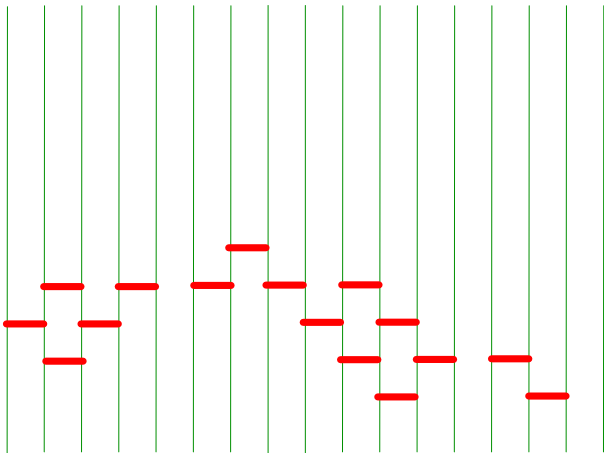
# Recall: Bijection: Ordered Pairs – Dyck Paths



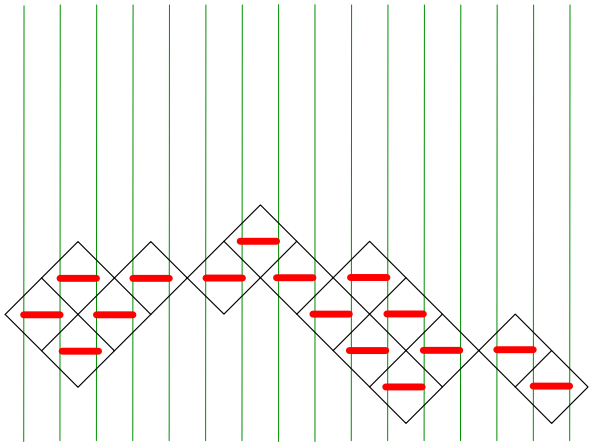
# Strict Heaps – Staircase Polygons



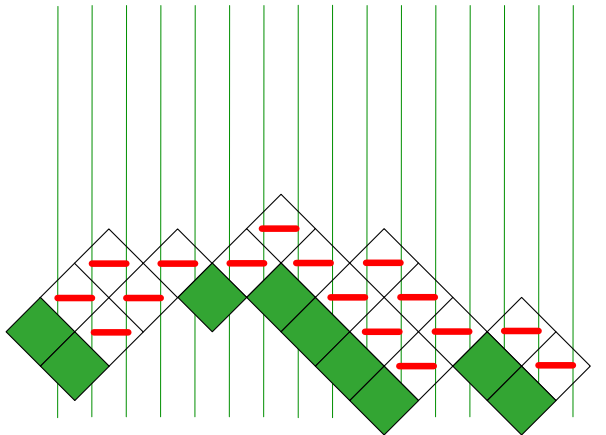
# Strict Heaps – Staircase Polygons



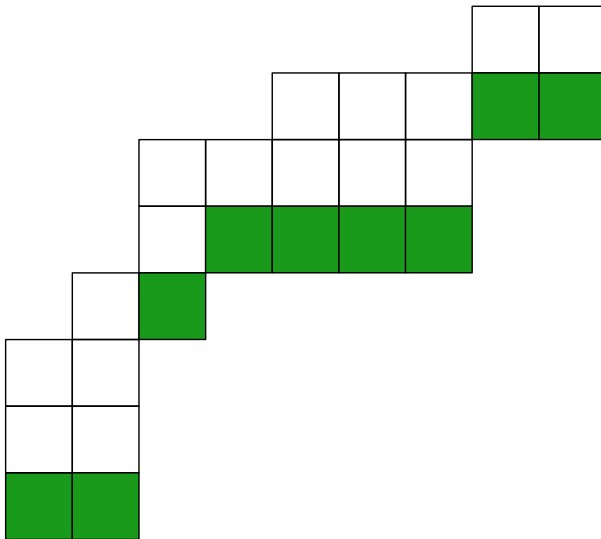
# Strict Heaps – Staircase Polygons



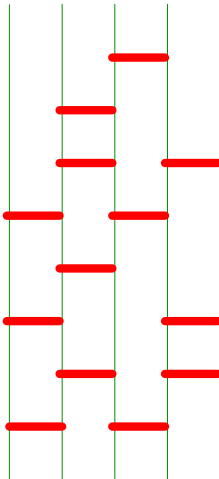
# Strict Heaps – Staircase Polygons



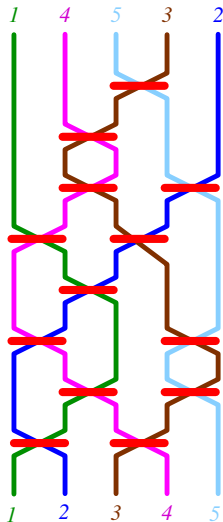
# Strict Heaps – Staircase Polygons



# Heaps – Permutations

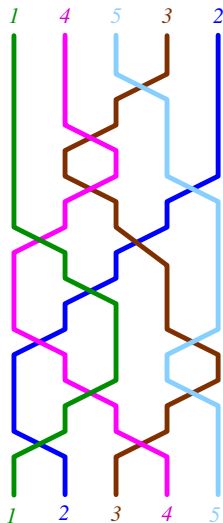


# Heaps – Permutations





# Heaps – Permutations



# Heaps – Permutations

A permutation  $\sigma$  is **321-avoiding** if there **no**  $i < j < k$  such that  $\sigma(i) > \sigma(j) > \sigma(k)$ .

## Theorem

*Strict heaps are equinumerous to 321-avoiding permutations.*

**Presentation Topic 1:** Permutations with forbidden patterns and Catalan numbers.

# $q$ -analogs of Catalan Numbers

- $q$ -integer  $[n]_q := 1 + q + q^2 + \dots + q^{n-1}$
- $q$ -factorial  $[n]_q! := [1]_q \cdot [2]_q \cdot [3]_q \dots [n]_q$
- $$\begin{bmatrix} n \\ k \end{bmatrix}_q = \frac{[n]_q!}{[n-k]_q! [k]_q!}$$

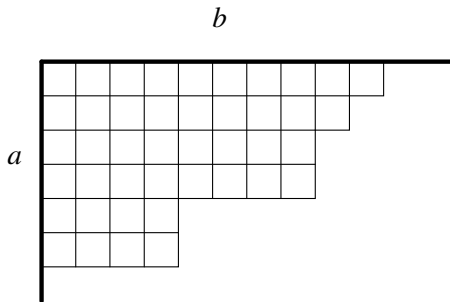
# $q$ -analogs of Catalan Numbers

- $q$ -integer  $[n]_q := 1 + q + q^2 + \dots + q^{n-1}$
- $q$ -factorial  $[n]_q! := [1]_q \cdot [2]_q \cdot [3]_q \dots [n]_q$
- $$\begin{bmatrix} n \\ k \end{bmatrix}_q = \frac{[n]_q!}{[n-k]_q! [k]_q!}$$

# $q$ -binomial Coefficients

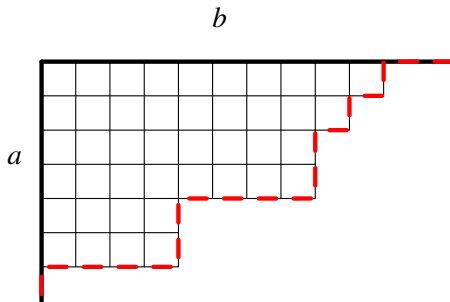
$$\begin{bmatrix} a+b \\ a \end{bmatrix}_q = ?$$

# $q$ -analogs of Catalan Numbers



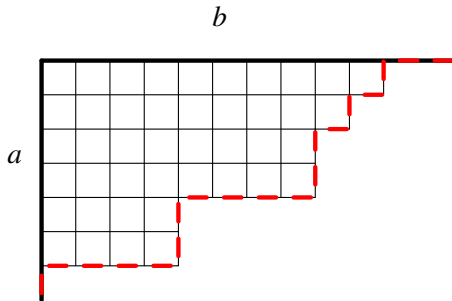
$$\begin{bmatrix} a+b \\ a \end{bmatrix}_q = ?$$

# $q$ -analogs of Catalan Numbers



$$\begin{bmatrix} a+b \\ a \end{bmatrix}_q = ?$$

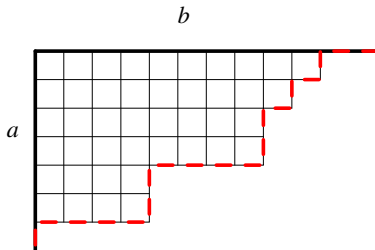
# $q$ -analogs of Catalan Numbers



$$\sum_{\mathcal{F} \subset [a \times b]} q^{\text{area}(\mathcal{F})} = \begin{bmatrix} a+b \\ a \end{bmatrix}_q$$



# $q$ -analogs of Catalan Numbers

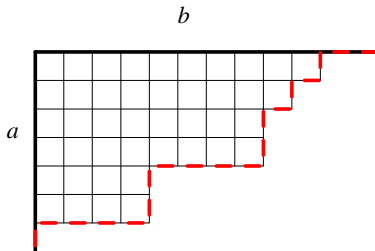


$$\sum_{\mathcal{F} \subset [a \times b]} q^{\text{area}(\mathcal{F})} = \begin{bmatrix} a + b \\ a \end{bmatrix}_q$$

**Exercise:** Prove that the number of  $k$ -dimensional subspaces of  $\mathbb{F}_q^n$  is

$$\begin{bmatrix} n \\ k \end{bmatrix}_q.$$

# $q$ -analogs of Catalan Numbers



$$\sum_{\mathcal{F} \subset [a \times b]} q^{\text{area}(\mathcal{F})} = \begin{bmatrix} a + b \\ a \end{bmatrix}_q$$

**Exercise:** Denote by  $p(j, k, n)$  the number of integer partitions of  $n$  into at most  $k$  parts and each part is at most  $j$ . Then

$$\sum_n p(j, k, n) q^n = \begin{bmatrix} j + k \\ k \end{bmatrix}_q.$$

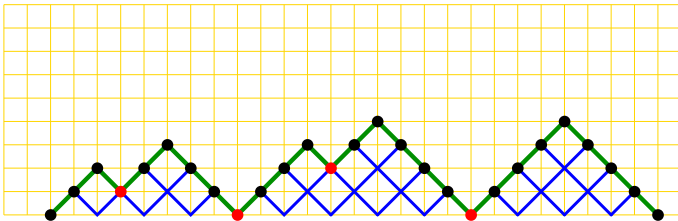
A  $q$ -analog of Catalan numbers

$$C_n(q) := \frac{1}{[n+1]_q} \begin{bmatrix} 2n \\ n \end{bmatrix}_q$$

A  $q$ -analog of Catalan numbers

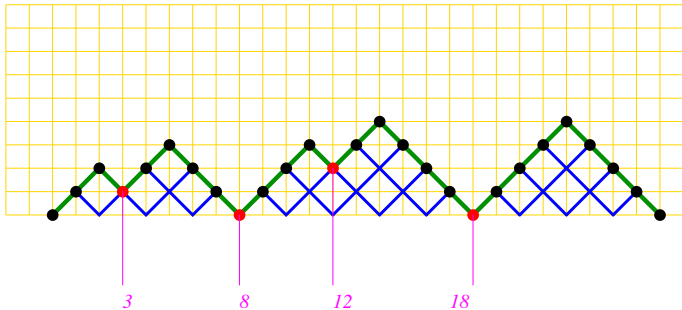
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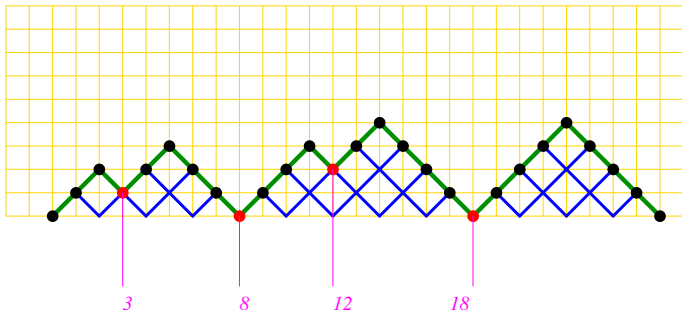
## $q$ -analogs of Catalan Numbers



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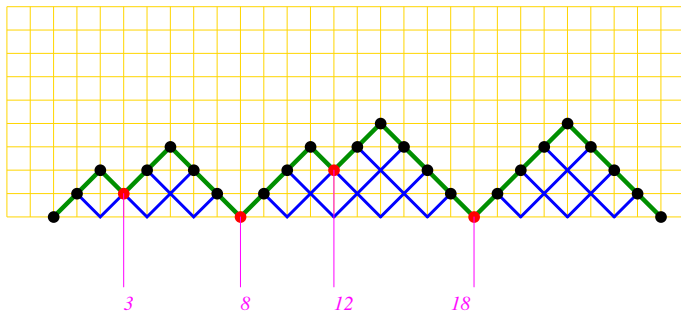
# $q$ -analogs of Catalan Numbers

$$\text{maj}(w) = 3 + 8 + 12 + 18 = 31$$



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# $q$ -analogs of Catalan Numbers

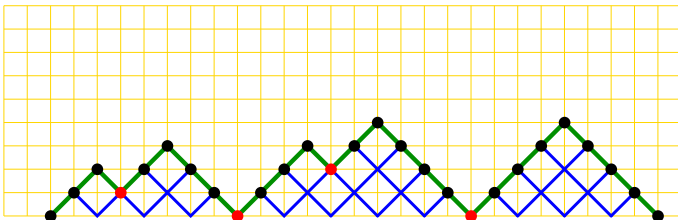


$$\sum_{\text{Dyck path } w; |w|=2n} q^{\text{maj}(w)} = \frac{1}{[n+1]_q} \begin{bmatrix} 2n \\ n \end{bmatrix}_q$$



# $q$ -analogs of Catalan Numbers

$$\text{area}(w) = 18$$



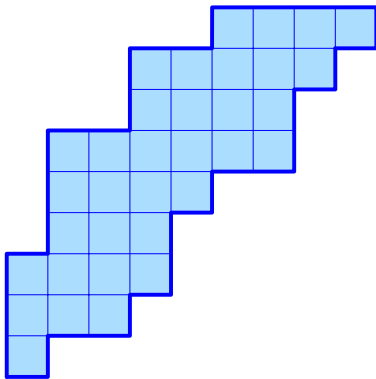
# $q$ -analogs of Catalan Numbers

$$\sum_{\text{Dyck path } w} q^{\text{area}(w)} t^{|w|/2}$$

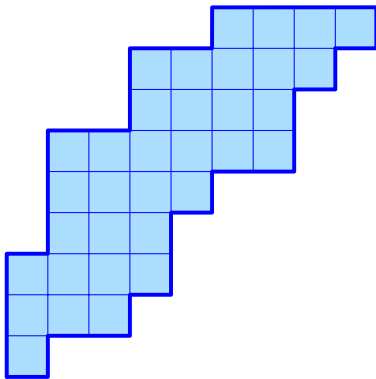
## Theorem

$$\sum_{\text{Dyck path } w} q^{\text{area}(w)} t^{|w|/2} = \frac{1}{1 - \frac{t}{1 - \frac{tq}{1 - \frac{tq^2}{1 - \frac{tq^3}{1 - \frac{tq^4}{1 - \frac{tq^5}{1 - \frac{tq^6}{1 - \dots}}}}}}}}$$

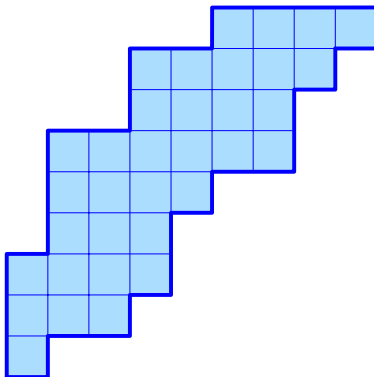
# Polya $q$ -Catalan Numbers



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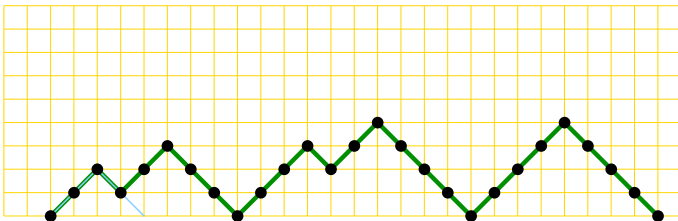
# Polya $q$ -Catalan Numbers



$q$ -Bessel functions

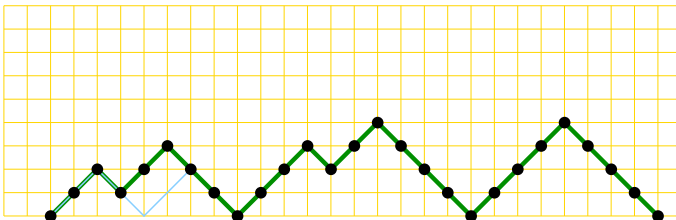
# $(q, t)$ -Catalan Numbers

Bounce



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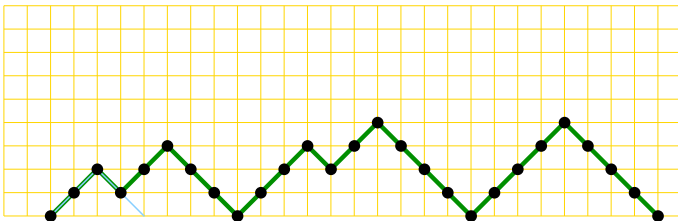
Bounce





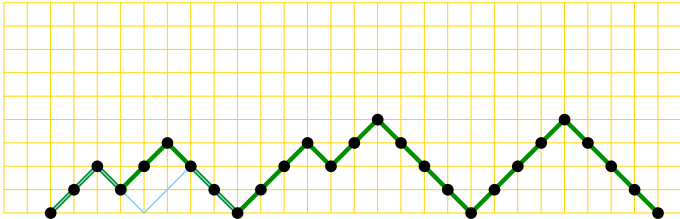
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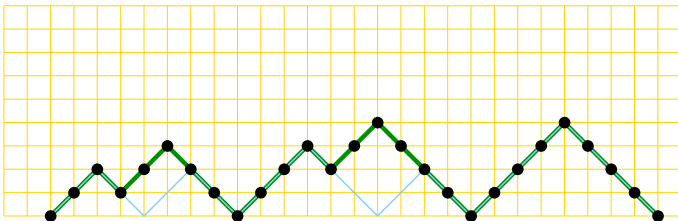
## $(q, t)$ -Catalan Numbers

## Bounce



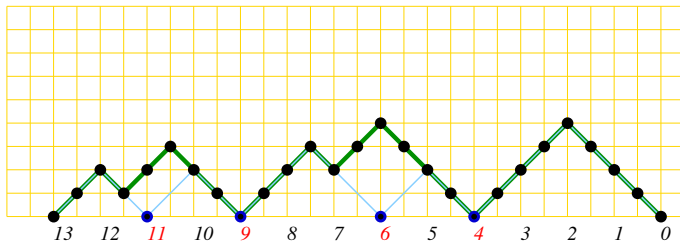
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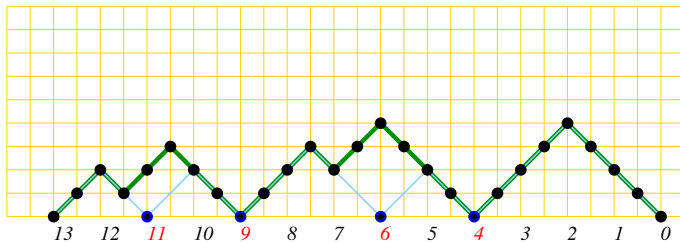
# $(q, t)$ -Catalan Numbers

$$\text{bounce}(w) = 4 + 6 + 9 + 11$$



# $(q, t)$ -Catalan Numbers

$$\text{bounce}(w) = 4 + 6 + 9 + 11 = 30$$



# $(q, t)$ -Catalan Numbers

**area** and **bounce** statistics have the same distribution!

# $(q, t)$ -Catalan Numbers

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$$\sum_{\text{Dyck path } w} q^{\text{area}(w)} = \sum_{\text{Dyck path } w} q^{\text{bounce}(w)}$$

# $(q, t)$ -Catalan Numbers

$(q, t)$ -Catalan Numbers:

$$C_n(q, t) = \sum_{\text{Dyck path } w; |w|=2n} q^{\text{area}(w)} t^{\text{bounce}(w)}$$



# $(q, t)$ -Catalan Numbers

$$C_n(q, t) = \sum_{\text{Dyck path } w; |w|=2n} q^{\text{area}(w)} t^{\text{bounce}(w)}$$

This polynomial is symmetric in  $q, t$ :

$$C_n(q, t) = C_n(t, q)$$

# $(q, t)$ -Catalan Numbers

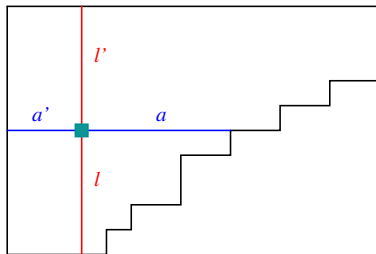
$$C_n(q, t) = \sum_{\text{Dyck path } w; |w|=2n} q^{\text{area}(w)} t^{\text{bounce}(w)}$$

This polynomial is symmetric in  $q, t$ :

$$C_n(q, t) = C_n(t, q)$$

There is no bijective proof!

# Original definition of $(q, t)$ -Catalan Numbers



A. Garsia, M. Haiman (1994)

$$C_n(q, t) = \sum_{\lambda \vdash n} \frac{t^{2 \sum_{c \in \lambda} l} q^{2 \sum_{c \in \lambda} a} (1-t)(1-q) \prod_{c \in \lambda} (1 - q^{a'} t^{l'}) \sum_{c \in \lambda} q^{a'} t^{l'}}{\prod_{c \in \lambda} (q^a - t^{l+1})(t^{l'} - q^{a+1})}$$

Presentation Topic 2:  $(q, t)$ -Catalan numbers.

Presentation Topic 3: 'Kepler Towers' and Catalan numbers.